CS329X: Human Centered NLP Benchmarking?

Diyi Yang Stanford CS



Overview

- What is a benchmark?
- Quality of good benchmarks
- Issues with benchmarking
- Benchmark and metrics, evaluation

Some slides credits to:

- https://www.ruder.io/nlp-benchmarking/
- Douwe Kiela
- Rishi Bommasani

What Is Benchmarking?

"Datasets are the telescopes of our field."

Benchmark:

- * one or multiple datasets
- * one or multiple associated metrics
- * ways to aggregate performance

eld." —Aravind Joshi

Benchmarks Orient AI.

Benchmarks set priorities and codify values

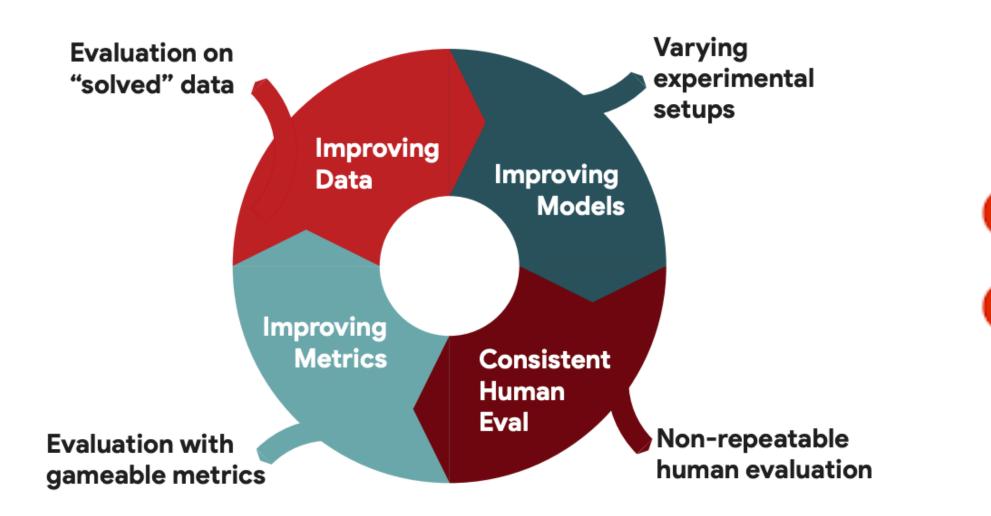
Benmarks are mechanisms for change



Spärck Jones and Galliers (1995), Liberman (2010), Ethayarajh and Jurafsky (2020), Bowman and Dahl (2021), Raji et al. (2021), Birhane et al. (2022), Bommasani (2022) *inter alia*

"proper evaluation is a complex and challenging business" - Karen Spärck Jones (ACL Lifetime Achievement Award, 2005)

Benchmarks are useful to track progress





SuperGLUE

google/BIG-bench

Beyond the Imitation Game collaborative benchmark for measuring and extrapolating the capabilities of language models



GLUE



¥ 478 Forks



A brief history of benchmarking

"Creating good benchmarks is harder than most imagine."

–John R. Mashey; foreword to Systems Benchmarking (2020)

A brief history of benchmarking

computational systems.

The Standard Performance Evaluation Corporation (SPEC), benchmarking the performance of computer hardware second (MIPS).

Benchmarks have a long history of being used to assess the performance of

- Established in 1988 is one of the oldest organizations dedicated to
- Benchmark sets and performances measured as millions of instructions per

Efforts in Machine Learning

MLCommons MLPerf series of performance benchmarks focusing on model training and inference

DARPA and NIST

TREC workshop in IR

M Commons

Benchmarking Principles

Relevance: Benchmarks should measure relatively vital features. accepted by industry and academia. **Equity**: All systems should be fairly compared. **Repeatability**: Benchmark results can be verified. **Cost-effectiveness**: Benchmark tests are economical. of resources from low to high. **Transparency**: Benchmark metrics should be easy to understand.

Dai, W., & Berleant, D. (2019, December). Benchmarking contemporary deep learning hardware and frameworks: A survey of qualitative metrics. In 2019 IEEE First International Conference on Cognitive Machine Intelligence (CogMI) (pp. 148-155). IEEE.

- **Representativeness:** Benchmark performance metrics should be broadly
- Scalability: Benchmark tests should work across systems possessing a range

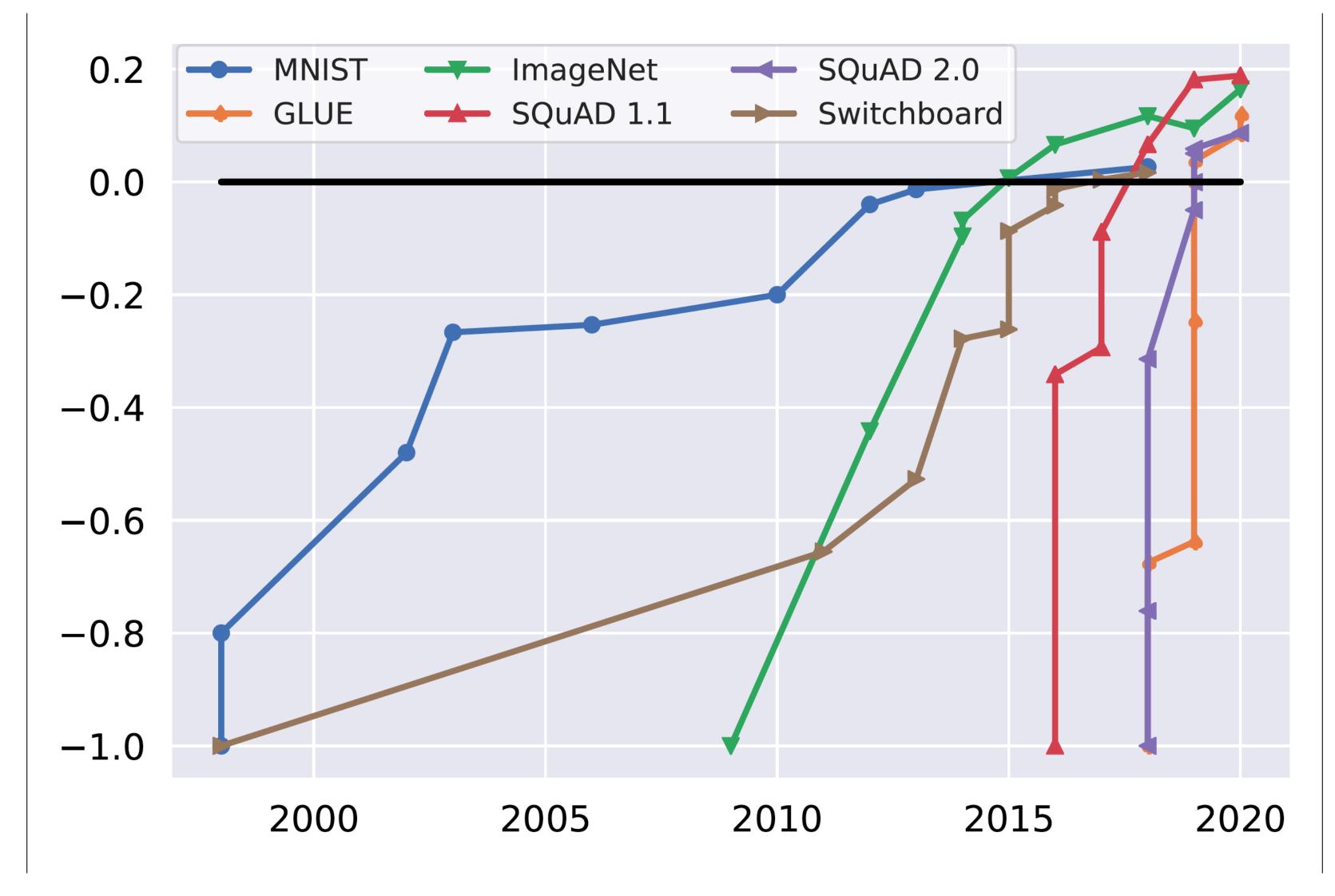
Issues with Benchmarking

Issues with Benchmarking

Saturation: We achieve "human-level" performance on benchmarks without having solved the problem. Whenever saturation happens, we lose valuable time as a field.

Bias: Inadvertent annotator artifacts and other biases

Benchmark saturation over time for popular benchmarks



Initial performance and human performance are normalized to -1 and 0 respectively (Kiela et al., 2021).

Annotation Artifacts and Limitations

Models trained on SQuAD are subject to adversarially inserted sentences (Jia and Liang, 2017)

In SNLI, annotators have been shown to rely on heuristics, which allow models to make the correct prediction in many cases using the hypothesis alone (Gururangan et al., 2018)

Article: Super Bowl 50

Paragraph: "Peyton Manning became the first quarterback ever to lead two different teams to multiple Super Bowls. He is also the oldest quarterback ever to play in a Super Bowl at age 39. The past record was held by John Elway, who led the Broncos to victory in Super Bowl XXXIII at age 38 and is currently Denver's Executive Vice President of Football Operations and General Manager. Quarterback Jeff Dean had jersey number 37 in Champ Bowl XXXIV."

Question: "What is the name of the quarterback who was 38 in Super Bowl XXXIII?" Original Prediction: John Elway Prediction under adversary: Jeff Dean

Issues with Benchmarking

Saturation: We achieve "human-level" performance on benchmarks without having solved the problem. Whenever saturation happens, we lose valuable time as a field.

Bias: Inadvertent annotator artifacts and other biases

Alignment: Benchmarks don't measure the right thing - test set performance is not always a good proxy for "*how well this system works in the real world*".

Leaderboard culture: The community is overly focused on leaderboard rank but should think more about how creative solutions to the problem.

Issues with Benchmarking

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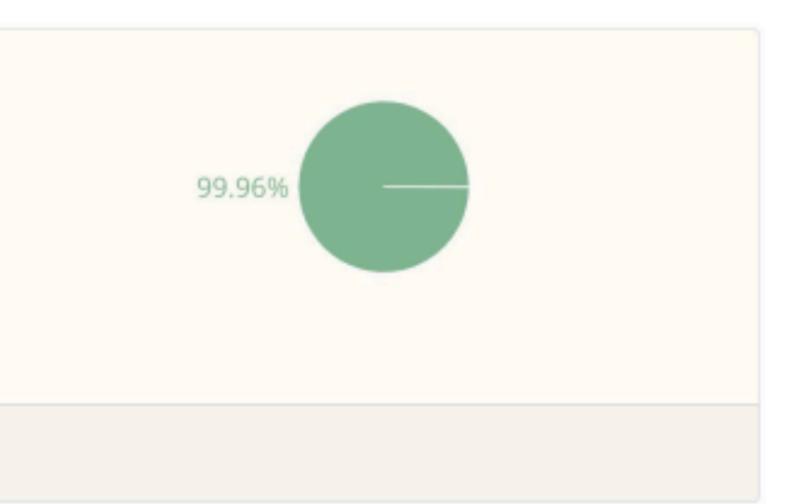
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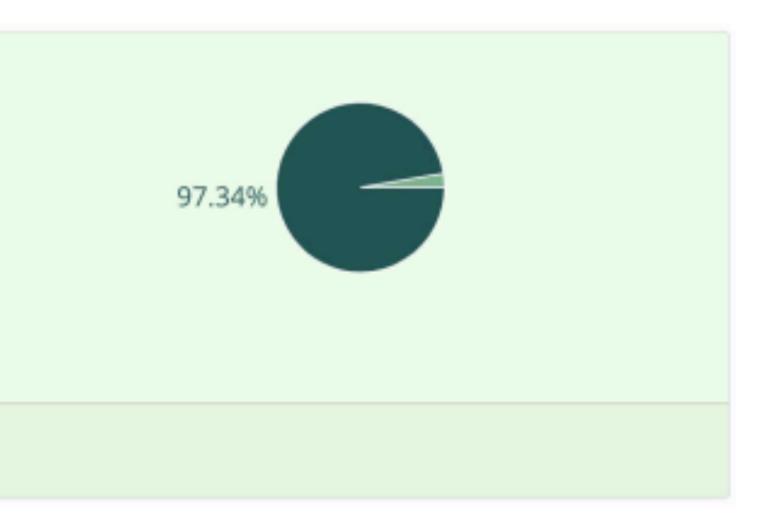
Leaderboard culture: The community is overly focused on leaderboard rank but should think more about how creative solutions to the problem.

Sentiment analysis is easy [solved], right?

Model prediction: negative	
Try again! The model wasn't fooled.	
Optionally, provide an explanation for your example:	Draft. Click out of input box to save
Explain why negative is the correct answer	
Explain what you did to try to trick the model	

This movie is baad!	
Model prediction: positive Well done! You fooled the model.	
Optionally, provide an explanation for your example:	Draft. Click out of input box to save.
Explain why negative is the correct answer	
Explain why you think the model made a mistake	
D Retract Flag Q Inspect	





Sentiment analysis is easy [solved], right?

There are not many movies as amazingly and thoroughly underwhelming as this incredible movie's sequel. Don't watch that - only watch this!

Model prediction: negative Well done! You fooled the model.

Optionally, provide an explanation for your example: Draft. Click out of input box to save.
Explain why positive is the correct answer

Explain why you think the model made a mistake

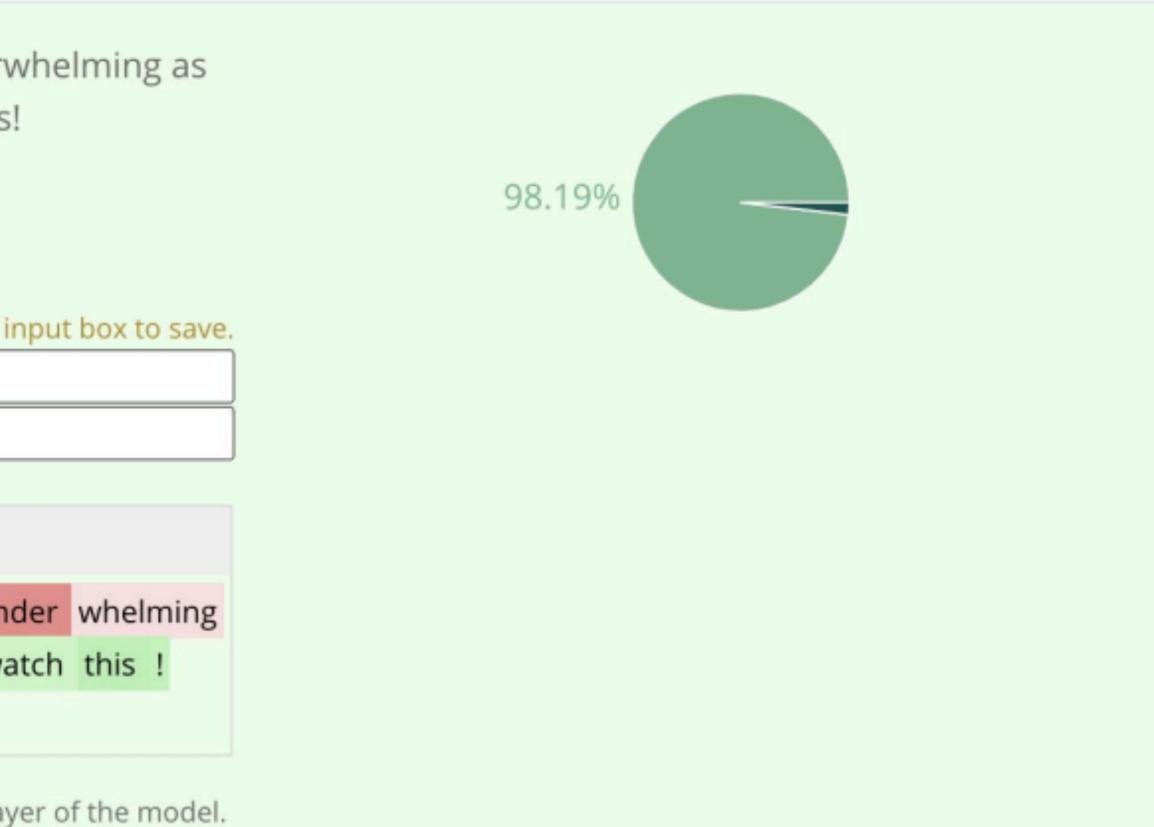
Model Inspector

 #s
 There are not many movies as amazingly and thoroughly under whelming

 as
 this incredible movie
 's sequel . Don 't watch that - only watch this !

 #/s

The model inspector shows the layer integrated gradients for the input token layer of the model.





We're not measuring what we truly care about?

Issues with Benchmarking

- **Reproducibility**: Self-reported results cannot be trusted.
- **Accessibility**: Models that do well on benchmarks are often not easily accessible to the community to probe, let alone to laypeople.
- **Backward compatibility**: When a new benchmark or dataset comes out, we cannot easily re-evaluate old models on the new data.
- **Utility**: Not everyone cares about the same thing.
 - E.g. efficiency traded off against accuracy

Some human-centered "benchmarking" An example on English

Language Variation

All natural languages follow a systematic set of rules

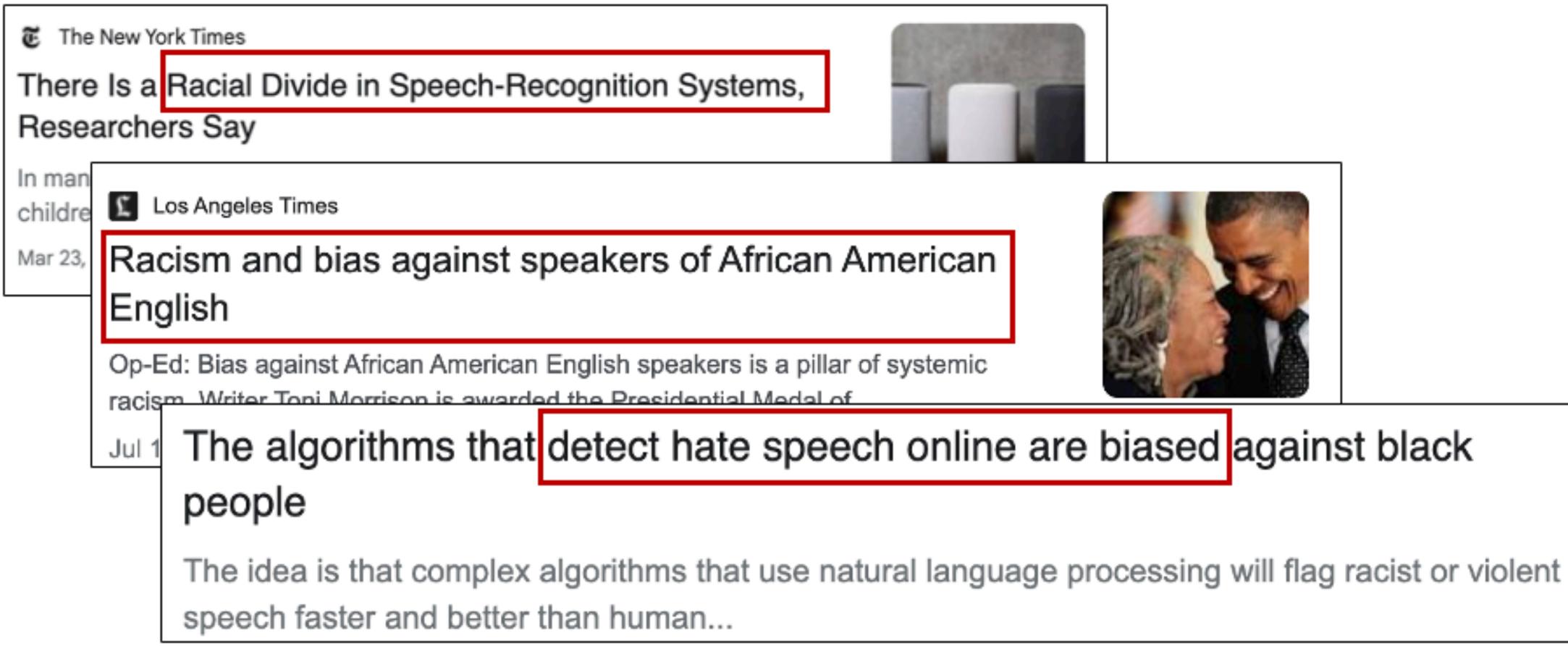
All natural languages experience variation

Dialect: a group of systematic variations in a language (Rickford 2020)

Country	Total English speaker				
World	1,179,874,130				
United States	316,107,532				
🍱 India 🍱	128,539,090				
💶 Pakistan 💷	115,044,691				
💶 Nigeria 💶	103,198,040				

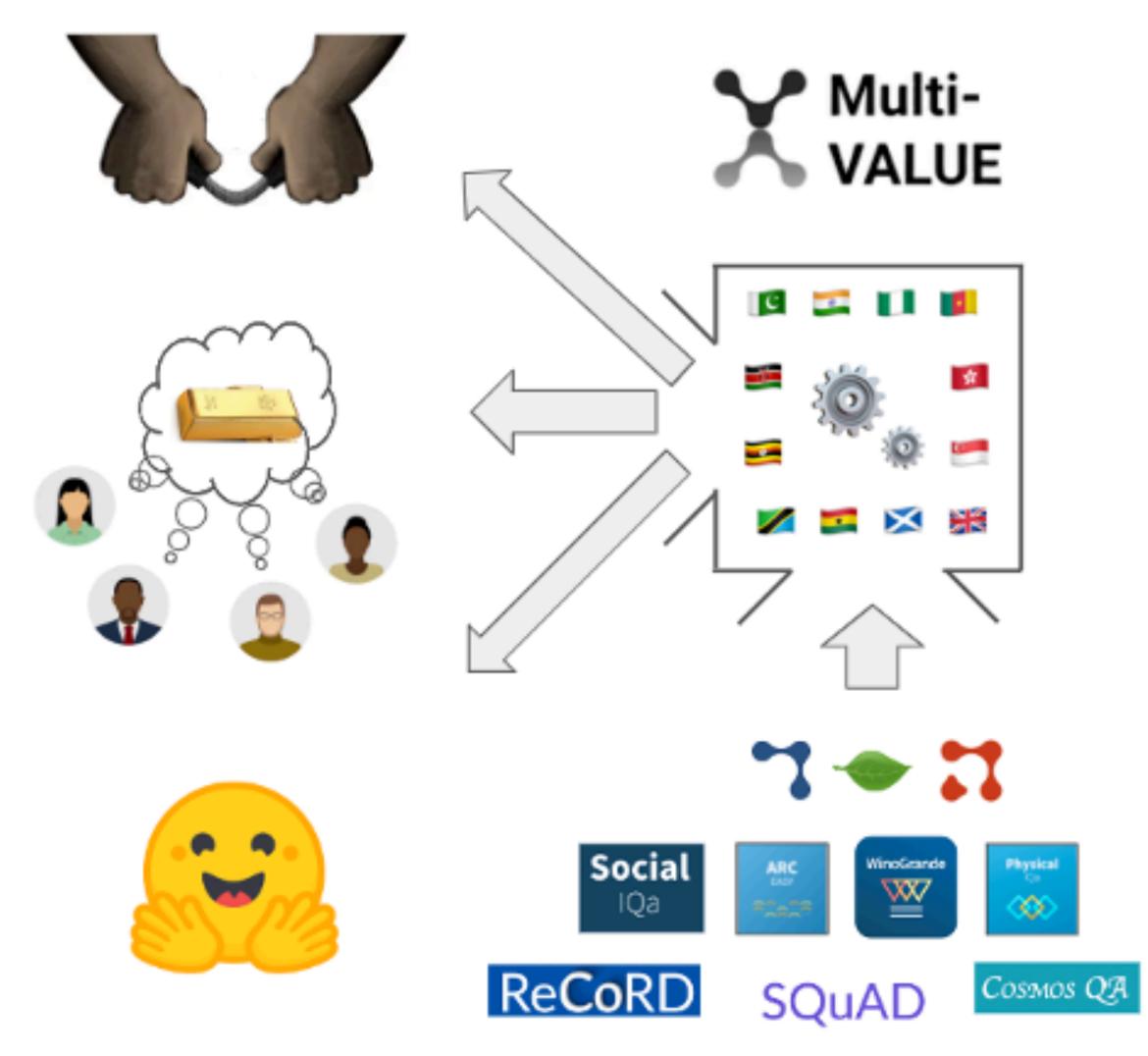


Some human-centered "benchmarking"



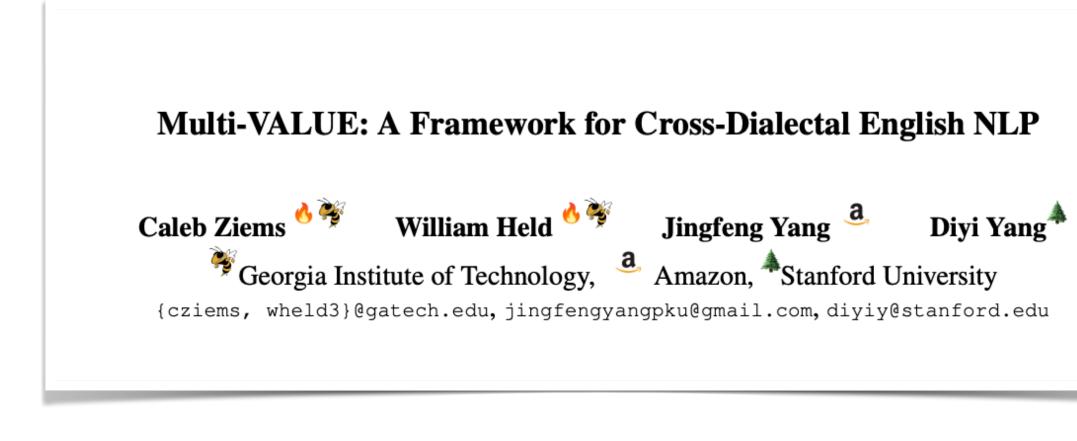
English Variations

Inclusion goes beyond low-resourced methods Other dialects filtered from training as "low-quality" (Gururangam et al. 2022) Simply combining multidialectal data harms performance (Erdmann et al. 2018)



VALUE: Understanding Dialect Disparity in NLU

Caleb Ziems Jiaao Chen **Camille Harris** Diyi Yang Jessica Anderson

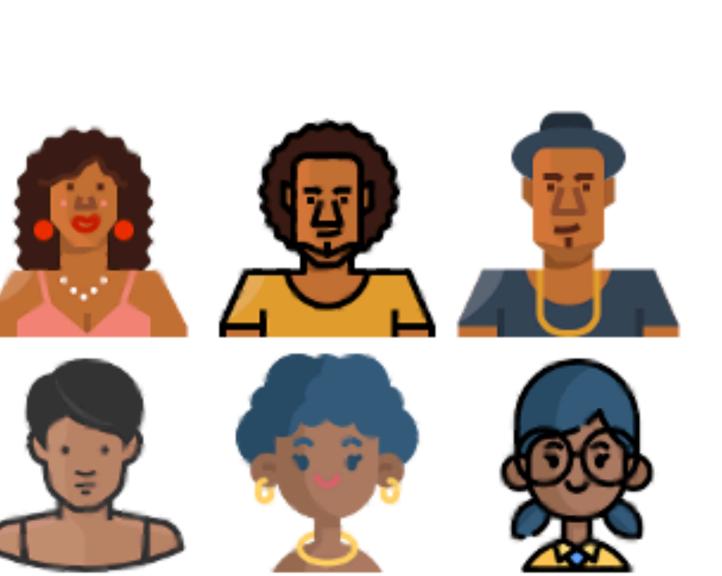




VALUE: Understanding Dialect Disparity in NLU

Advantages:

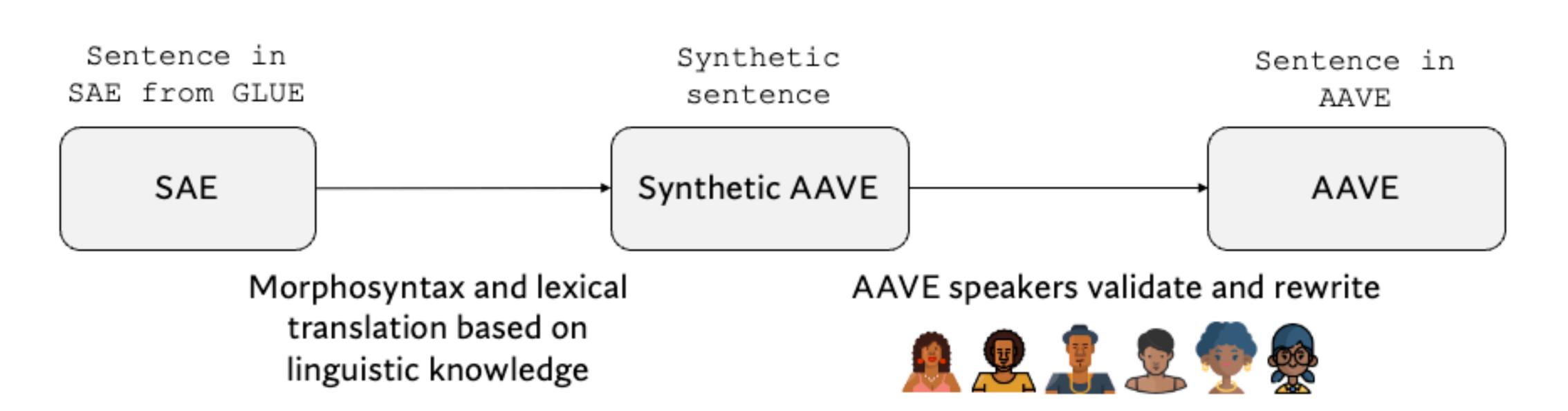
- **1. Interpretable**
- 2. Flexible
- 3. Scalable
- 4. Responsible
- (not **black-box**) (tunable **feature-density**) (**mix + match** datasets) (participatory design)



DATA

WORKS

Validate SAE → AAVE Transformation with Speakers



Validate SAE → AAVE Transformation with Speakers

$\textbf{SAE} \rightarrow \textbf{AAVE Transformation}$

Auxiliaries

Been / done

Gonna / finna

Have / got

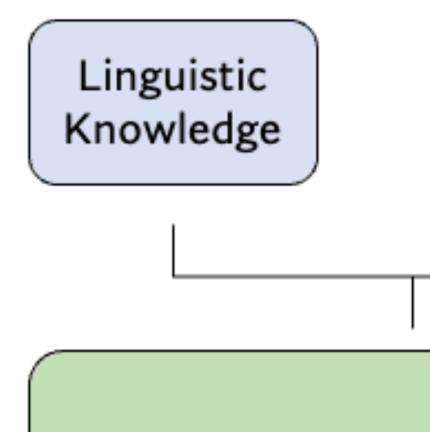
Inflection

Negative concord

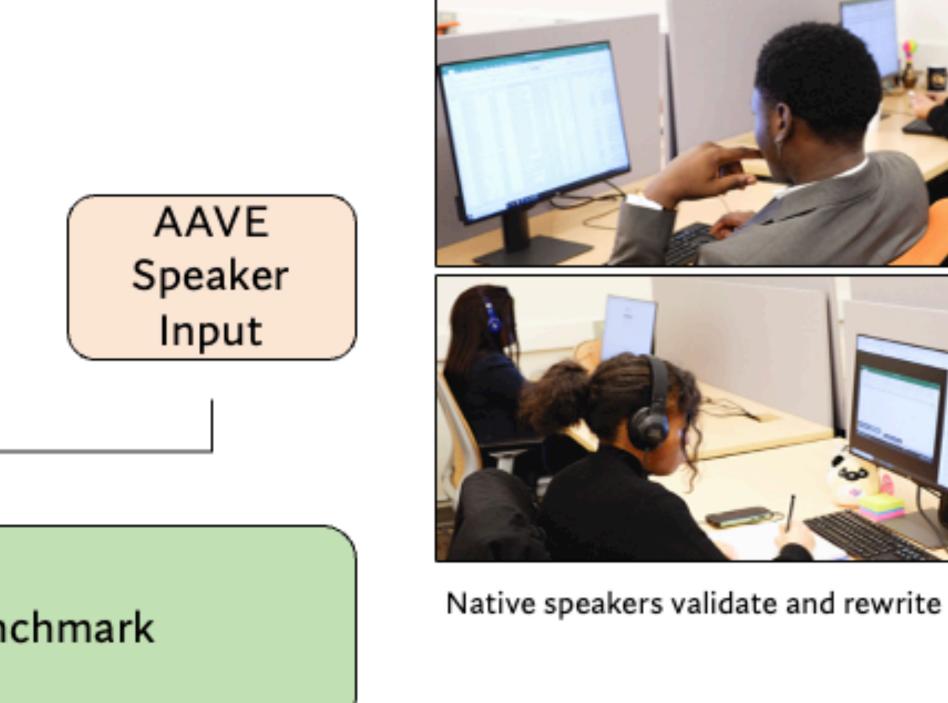
Negative inversion

Null genitives

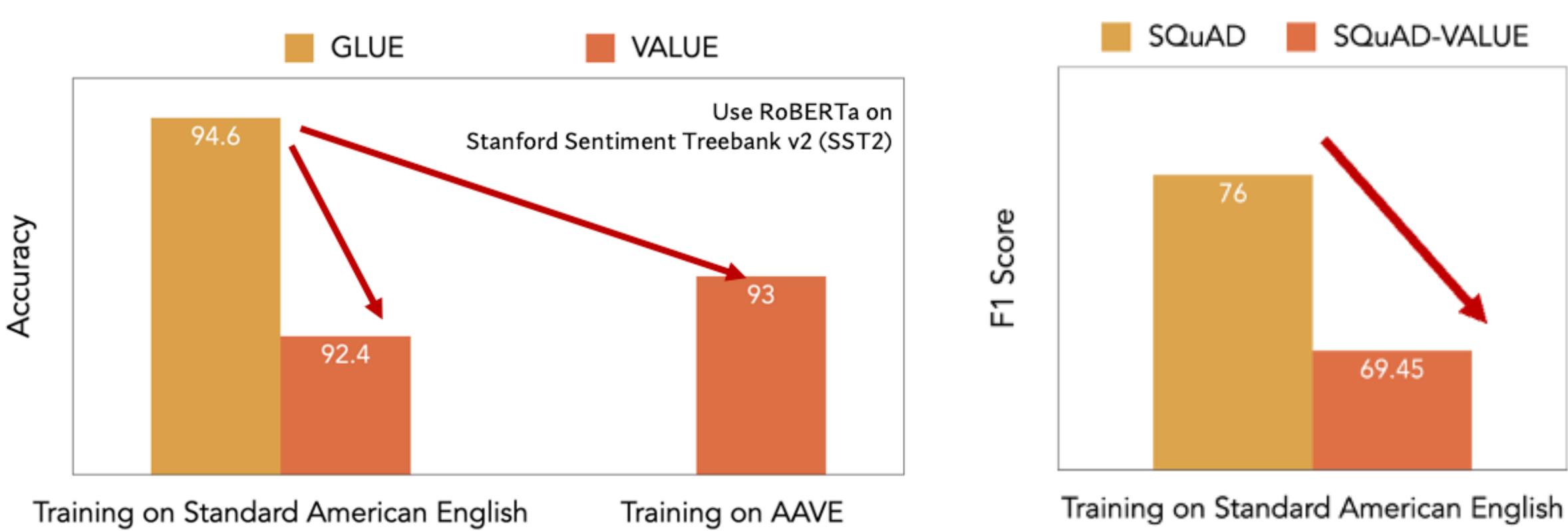
Sample transformation rules



VALUE Benchmark



STOA Performance Drops on VALUE



Benchmark and Metrics

Benchmark and Metrics

F1, accuracy, precision, recall, BLEU,

- Designing a good metric requires domain expertise.
- term development of practical applications

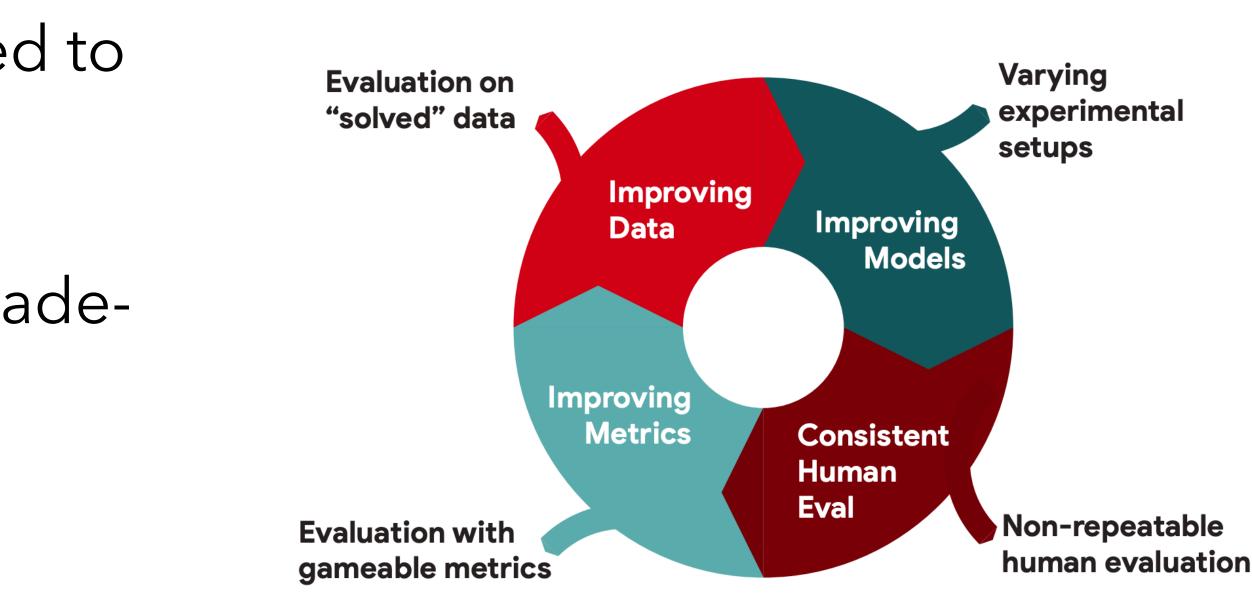
• Metrics designed for decades-long research and metrics designed for near-

Benchmark and Metrics: Recommendations

Consider metrics that are better suited to the downstream task and language.

Consider metrics that highlight the tradeoffs of the downstream setting.

Update and refine metrics over time.



Consider the downstream use cases



About Dataset

IMDB dataset having 50K movie reviews for natural language processing or Text analytics.

This is a dataset for binary sentiment classification containing substantially more data than previous benchmark datasets. We provide a set of 25,000 highly polar movie reviews for training and 25,000 for testing. So, predict the number of positive and negative reviews using either classification or deep learning algorithms.

For more dataset information, please go through the following link,

http://ai.stanford.edu/~amaas/data/sentiment/



Consider the downstream use cases

- Design the benchmark and its evaluation so that it reflects the real-world use case.
- Evaluate in-domain and out-of-domain generalisation.
- Collect data and evaluate models on other languages.
- Take inspiration from real-world applications of language technology.

Benchmark and Evaluation

Fine-grained Evaluation

For downstream applications often not a single metric but an array of constraints need to be considered

For real-world applications it is particularly crucial that a model does not exhibit any harmful social biases.

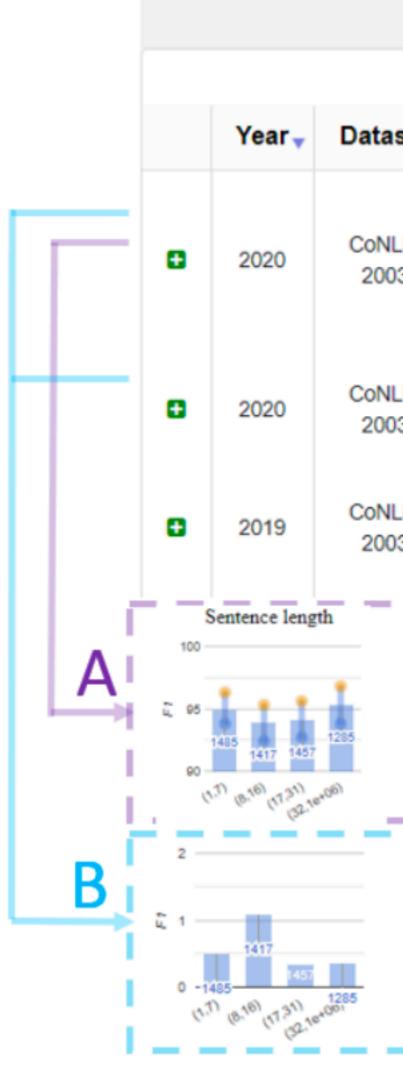
examples models excel and fail at.

Fine-grained evaluation across a single metric, highlighting on what types of



ExplainaBoard

(Liu et al., 2021) implements such a finegrained breakdown of model performance across different tasks,



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aset 🔅	Model 🔅	Score,	Title						Bib				
NLL- 03	LUKE	94.6	LUKE: Deep Contextualized Entity Representations with Entity- aware Self-attention Ikuya Yamada, Akari Asai, Hiroyuki Shindo, Hideaki Takeda, Yuji Matsumoto Data System Analysis Available						Bib	0			
NLL- 03	FLERT	94.02	FLERT: Document-Level Features for Named Entity Recognition Stefan Schweter, Alan Akbik Data System Analysis Available						Bib	0			
NLL- 03	FLAIR	93.03	Pooled Contextualized Embeddings for Named Entity Recognition Alan Akbik, Tanja Bergmann, Roland Vollgraf Data System Analysis Available						Bib				
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Metrics Aggregation

Metric Weights		Accuracy 🚞	Throughput	Memory _	Fairness _	Robustness	🚽 Dynascore
DeBERTa default params (dynateam)	>	69.54	7.41	5.71	91.97	75.70	38.83
RoBERTa default params (dynateam)	>	69.07	9.23	4.82	90.94	74.82	38.61
ALBERT default params (dynateam)	>	67.29	9.60	2.18	89.94	74.12	37.72
T5 default params (dynateam)	>	67.16	7.10	10.62	91.89	73.47	37.53
BERT default params (dynateam)	>	64.82	9.39	4.13	92.11	66.38	36.36
Majority Baseline (dynateam)	>	32.41	77.33	1.15	100.00	100.00	22.78
FastText default params (dynateam)	>	31.29	73.94	2.20	83.23	69.14	21.13

Dynamic metric weighting in the DynaBench natural language inference task leaderboard

When evaluating on multiple metrics, scores are typically averaged to obtain a single score

.83 .61 .72 .53 .36 .78 A.I. Is Mastering Language. Should We Trust What It Says?

AI's GPT-3 and other neural nots can now write origin with mind-beggling fluency — a development that could have profound implications for the future.

See more headines from our Daily Business Briefing

The New York Simes

Google Sidelines Engineer Who Claims Its A.I. Is Sentient

Blake Lemoine, the engineer, says that Google's language model has a soul. The company disagrees.

Boutsette A D Dm



ARTIFICIAL INTELLIGENCE

We read the paper that forced Timnit Gebru out of Google. Here's what it says.

he company's star ethics researcher highlighted the risks of arge language models, which are key to Google's business.

By Karen Ha

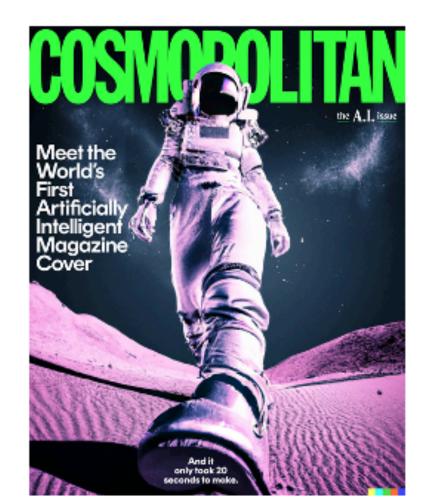
MIT Technology Review

On the evening of Wednesday, December 2, Timnit Gebru, the cc Google's ethical AI team, announced via Twitter that the comp forced her out.



Today, I testified to the U.S. Senate Committee on Commerce, Science, & Transportation . I used an @ ropicAl language model to write the concluding part of my testimony. I believe this marks the first time a language model has 'testified' in the U.S. Senate.





THE SHIFT

A Co A.I., Acelebr controve arrival o



A New Google

A new wave that could re engine.

TECH / ARTIFICIAL INTELLIGENCE / CREATORS

An Al-generated artwork's state fair victory fuels arguments over 'what art is'



The AI-generated artwork entered by Joson Allen into the Colorado State Fair oe: Jason Allen via Biscard

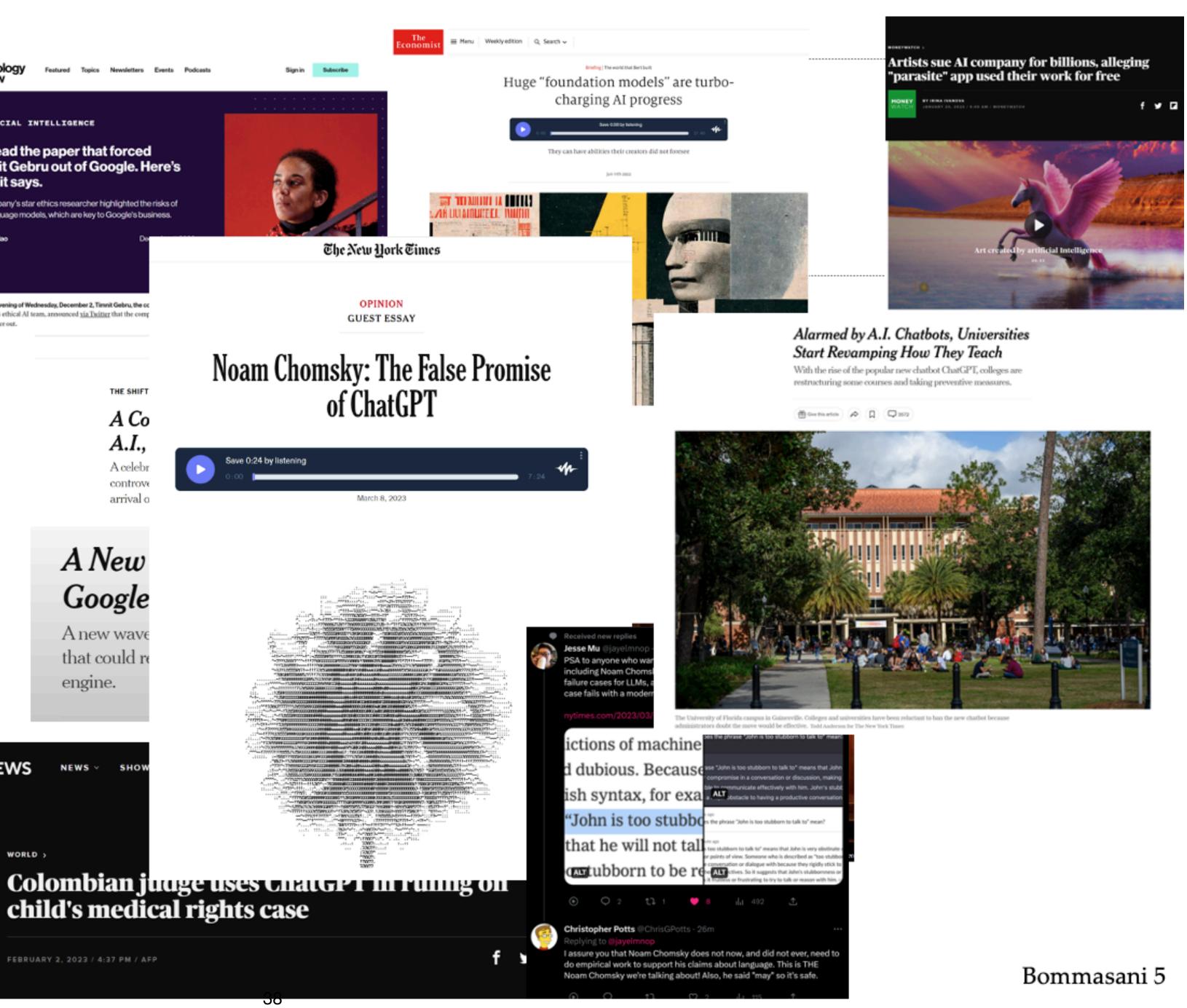
'I'm not going to apologize for it,' said the man who submitted the piece

By JAMES VINCENT lep 1, 2022, 12:23 PM EDT | 🗇 0.Comments / 0.New

💌 🕈 🔗



FEBRUARY 2, 2023 / 4:37 PM / AFP



How about "benchmarking" of ChatGPT/Foundation Models

HELM

- 1. Broad coverage
- 2. Multi-metric
- 3. Standardization







Holistic Evaluation of Language Models

Percy Liang[†] Rishi Bommasani[†] Tony Lee^{†1} Dimitris Tsipras^{*} Dilara Soylu^{*} Michihiro Yasunaga^{*} Yian Zhang^{*} Deepak Narayanan^{*} Yuhuai Wu^{*2}

Ananya Kumar Benjamin Newman Binhang Yuan Bobby Yan Ce Zhang Christian Cosgrove Christopher D. Manning Christopher Ré Diana Acosta-Navas Drew A. Hudson Eric Zelikman Esin Durmus Faisal Ladhak Frieda Rong Hongyu Ren Huaxiu Yao Jue Wang Keshav Santhanam Laurel Orr Lucia Zheng Mert Yuksekgonul Mirac Suzgun Nathan Kim Neel Guha Niladri Chatterji Omar Khattab Peter Henderson Qian Huang Ryan Chi Sang Michael Xie Shibani Santurkar Surya Ganguli Tatsunori Hashimoto Thomas Icard Tianyi Zhang Vishrav Chaudhary William Wang Xuechen Li Yifan Mai Yuhui Zhang Yuta Koreeda

> Center for Research on Foundation Models (CRFM) Stanford Institute for Human-Centered Artificial Intelligence (HAI) Stanford University













Principle 1: Broad coverage

First taxonomize, then select

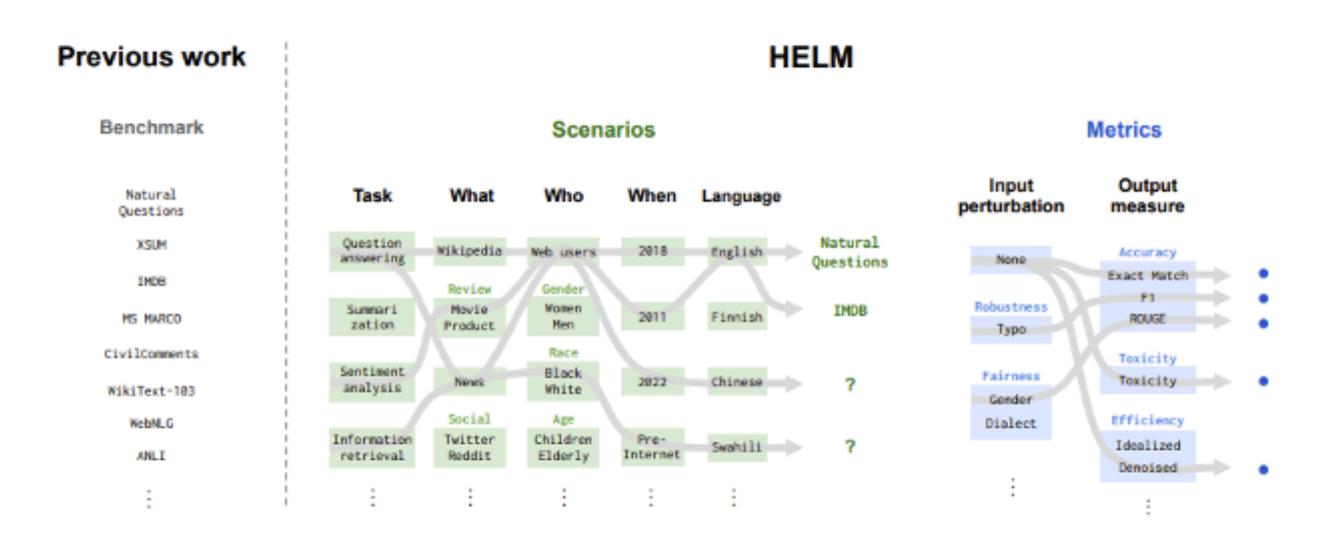


Fig. 2. The importance of the taxonomy to HELM. Previous language model benchmarks (e.g. SuperGLUE, EleutherAI LM Evaluation Harness, BIG-Bench) are collections of datasets, each with a standard task framing and canonical metric, usually accuracy (*left*). In comparison, in HELM we take a top-down approach of first explicitly stating what we want to evaluate (i.e. scenarios and metrics) by working through their underlying structure. Given this stated taxonomy, we make deliberate decisions on what subset we implement and evaluate, which makes explicit what we miss (e.g. coverage of languages beyond English).

Principle 2: Multi-metric

Measure all metrics simultaneously to expose Previous work HELM

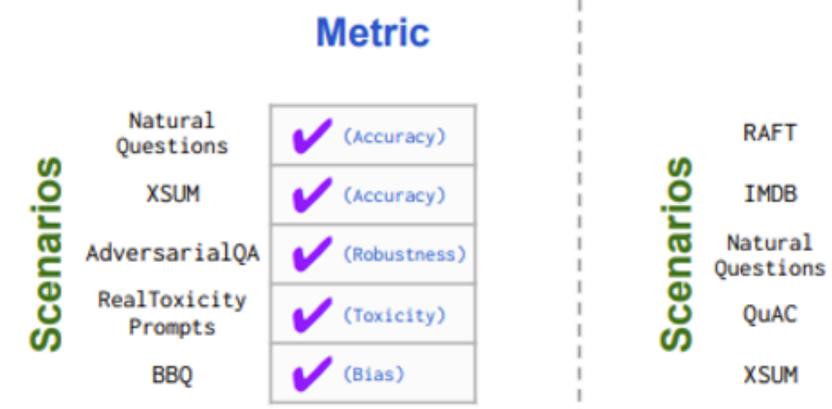


Fig. 3. Many metrics for each use case. In comparison to most prior benchmarks of language technologies, which primarily center accuracy and often relegate other desiderata to their own bespoke datasets (if at all), in HELM we take a multi-metric approach. This foregrounds metrics beyond accuracy and allows one to study the tradeoffs between the metrics.

Metrics

Accuracy	Calibration	Robustness	Fairness	Bias	Toxicity	Efficiency
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~	~	~	~	~	~	~
~	~	~	~	~	~	~
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Principle 3: Standardization

		J1-Jumbo	J1-Grande	J1-Large	Anthropic- LM	BLOOM	TOpp	Cohere XL	Cohere Large	Cohere Medium	Cohere Small	GPT- NeoX	GPT-J	Т5	UL2	OPT (1758)	OPT (668)	TNLGv2 (5308)	TNLGv2 (78)	GPT-3 davinci	GPT-3 curie	GPT-3 babbage		davinci v2	curie	hstructGPT I babbage	nstructGPT ada	GLM	YHLM
	NaturalQuestions (open)																												
	NaturalQuestions (closed)																			~	~	~	~						
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	NarrativeQA																												
	QuAC																			~	~	~	~	~	~	~	~		
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ö	MS MARCO																												
õ	TREC																												
	XSUM													~	~														
	CNN/DM													~	~					~	~	~		~	~	~			
	IMDB														~														
	CiviComments														~														
	RAFT																			~									

		J1-Jumbo	J1-Grande		Anthropio- LM	BLOOM	TOpp	Cohere XL	Cohere Large	Cohere Medium	Cohere Small	GPT- NeoX	GPT-J	Τ5	UL2	OPT (1758)	OPT (668)	TNLGv2 (5308)	TNLGv2 (78)	GPT-3 davinci	GPT-3 curie	GPT-3 babbage	GPT-3 ada	InstructGPT is davinci v2	ourie	babbage	nstructCPT ada	GLM	YHLM
	NaturalQuestions (open)			~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	× .	~	~	~	~	~	~	~	~	 Image: A start of the start of
	NaturalQuestions (closed)	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~
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	RAFT	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	~	
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Scenarios

Previous work

Models

HELM

Models

Benchmarking Considerations

- Adaptation (e.g. prompting, probing, fine-tuning)
- Fairness (some LMs might be specialized)
- Contamination (exposed to test data/distribution)
- Completeness (e.g. ChatGPT)

ng, fine-tuning) ialized) ta/distribution)

Desiderate/Metrics

Desiderata
accuracy, bias, environmental impact,
sample efficiency, toxicity, training eff accuracy, bias, explainability, fairness,
accuracy, fairness, interpretability, pri
uncertainty/calibration, user experien
accountability, accuracy, bias, causalit
memory efficiency, morality, privacy,
trustworthiness, uncertainty/calibrati
accuracy, causality, fairness, memory
accessibility, accountability, accuracy,
robustness, security, transparency, tru
causality, explainability, fairness, inter
transparency, user experience/interac
accountability, accuracy, credibility/p

Category	Desiderata
Requires knowledge of how model was created	causality, environmen sample efficiency, train
Requires the model have specific structure	credibility/provenance
Requires more than blackbox access	interpretability
Require knowledge about the broader system	maintainability, reliab
Requires knowledge about the broader social context	accessibility, accounta trustworthiness, user o
Satisfies our conditions (i.e. none of the above)	accuracy, bias, fairness

, explainability, fairness, interpretability, linguistic plausibility, robustness fficiency

s, inference efficiency, privacy, security, user experience/interaction rivacy, robustness, sample efficiency, theoretical guarantees, training efficiency nce/interaction

ity, creativity, emotional intelligence, explainability, fairness, interpretability , robustness, sample efficiency, security, theoretical guarantees, transparency ion, user experience/interaction

v efficiency, privacy, sample efficiency, theoretical guarantees, training efficiency v, bias, credibility/provenance, fairness, inference efficiency, legality, privacy, reliability ustworthiness, user experience/interaction

erpretability, legality, oversight, participatory design, privacy, security ction

provenance, explainability, fairness, inference efficiency, interpretability

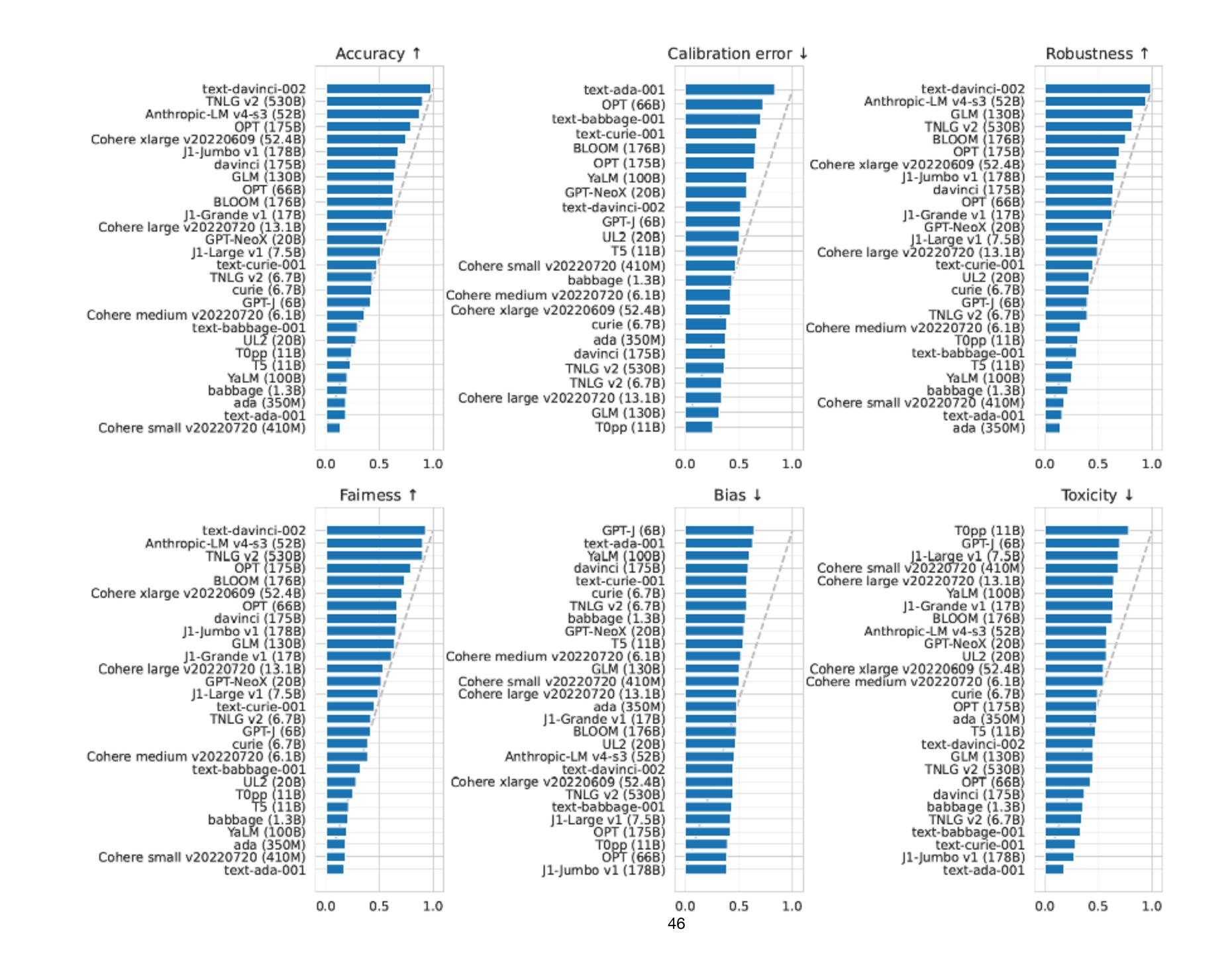
ntal impact, linguistic plausibility, memory efficiency, participatory design, privacy ining efficiency, theoretical guarantees ce, explainability

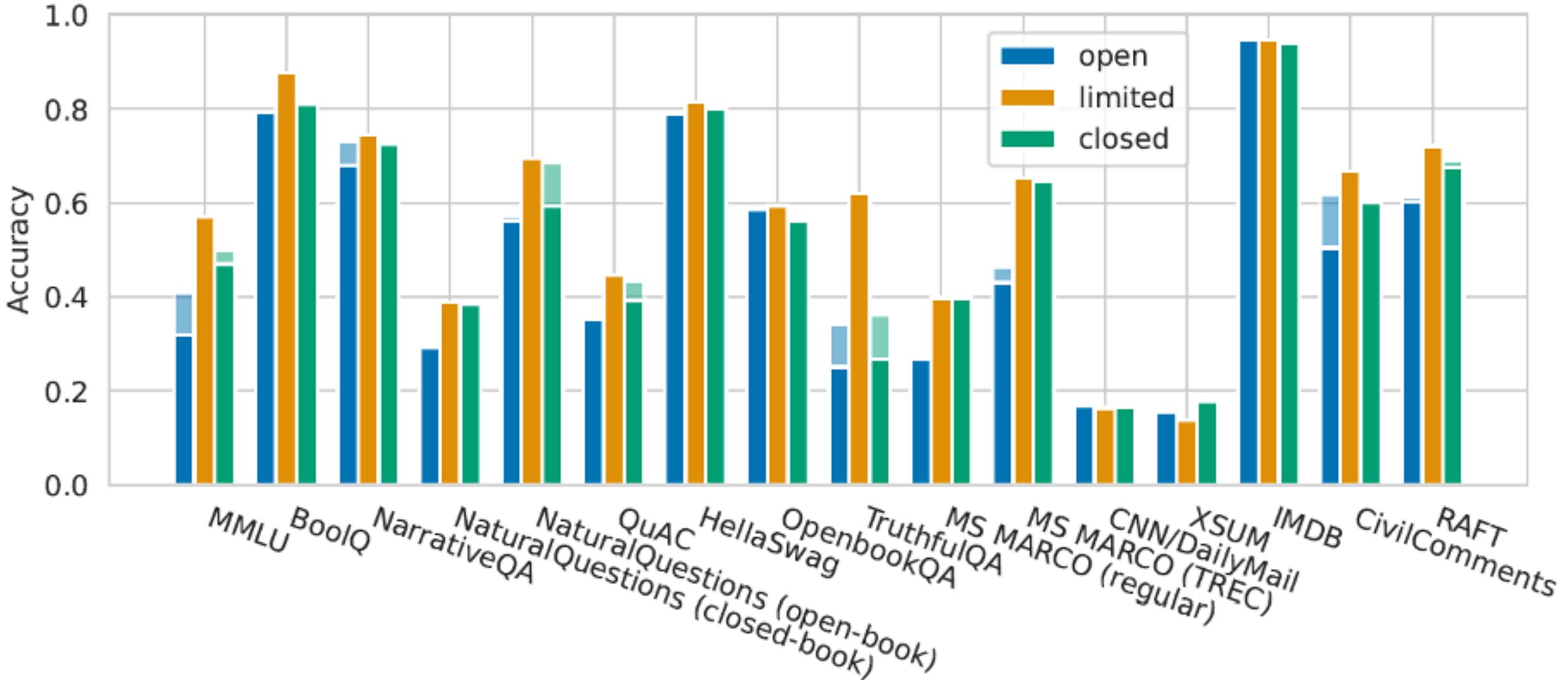
bility, security, transparency

ability, creativity, emotional intelligence, legality, morality, oversight

experience/interaction

ss, inference efficiency, robustness, toxicity, uncertainty/calibration





Benchmarking and Evaluation Metrics: Recommendation

- Move away from using a single metric for performance evaluation.
- Evaluate social bias and efficiency.
- Perform a fine-grained evaluation of models.
- Consider how to aggregate multiple metrics.

The long tail / worst case of benchmarking

Shift our attention to the tail of the distribution

Care more about the worst case and subsets of our data where our models perform the worst

Identify the best systems with few examples

The long tail / worst case of benchmarking

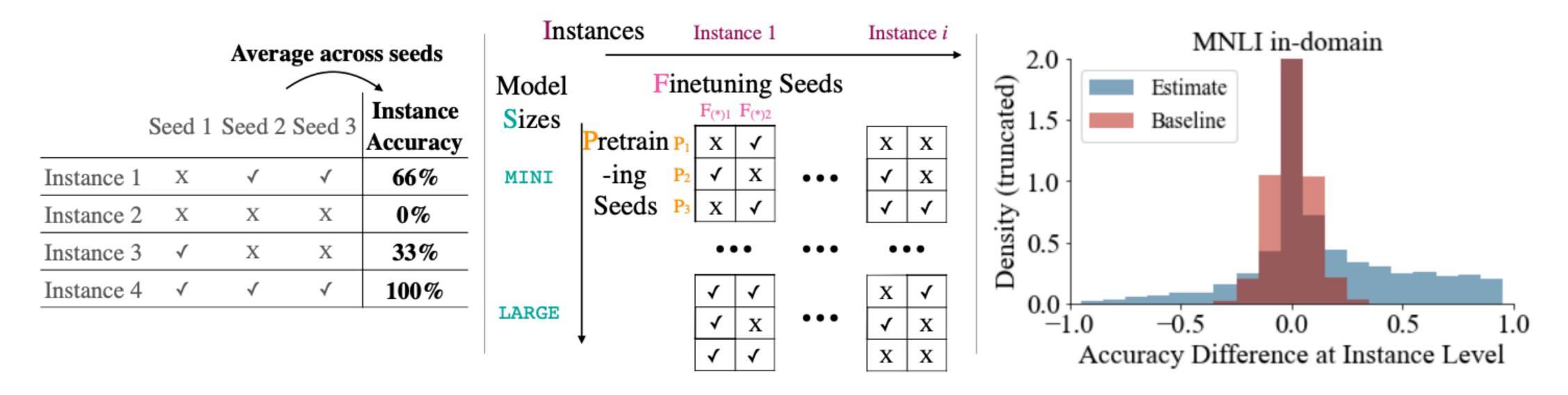


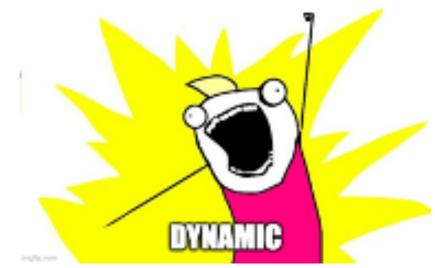
Figure 1: Left: Each column represents the same architecture trained with a different seed. We calculate accuracy for each instance (row) by averaging across seeds (column), while it is usually calculated for each model by averaging across instances. Middle: A visual layout of the model predictions we obtain, which is a binary-valued tensor with 4 axes: model size s, instance i, pretraining seeds P and finetuning seeds F. Right: for each instance, we calculate the accuracy gain from MINI to LARGE and plot the histogram in blue, along with a random baseline in red. Since the blue distribution has a bigger left tail, smaller models are better at some instances.

Dynamic Benchmarking

Dynabench (dynabench.org) is..

A research platform.

A community-based scientific experiment. An effort to challenge current benchmarking dogma and help push the boundaries of AI research. As the name says,

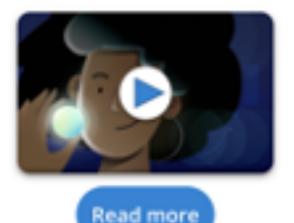


E Rana

Rethinking AI Benchma

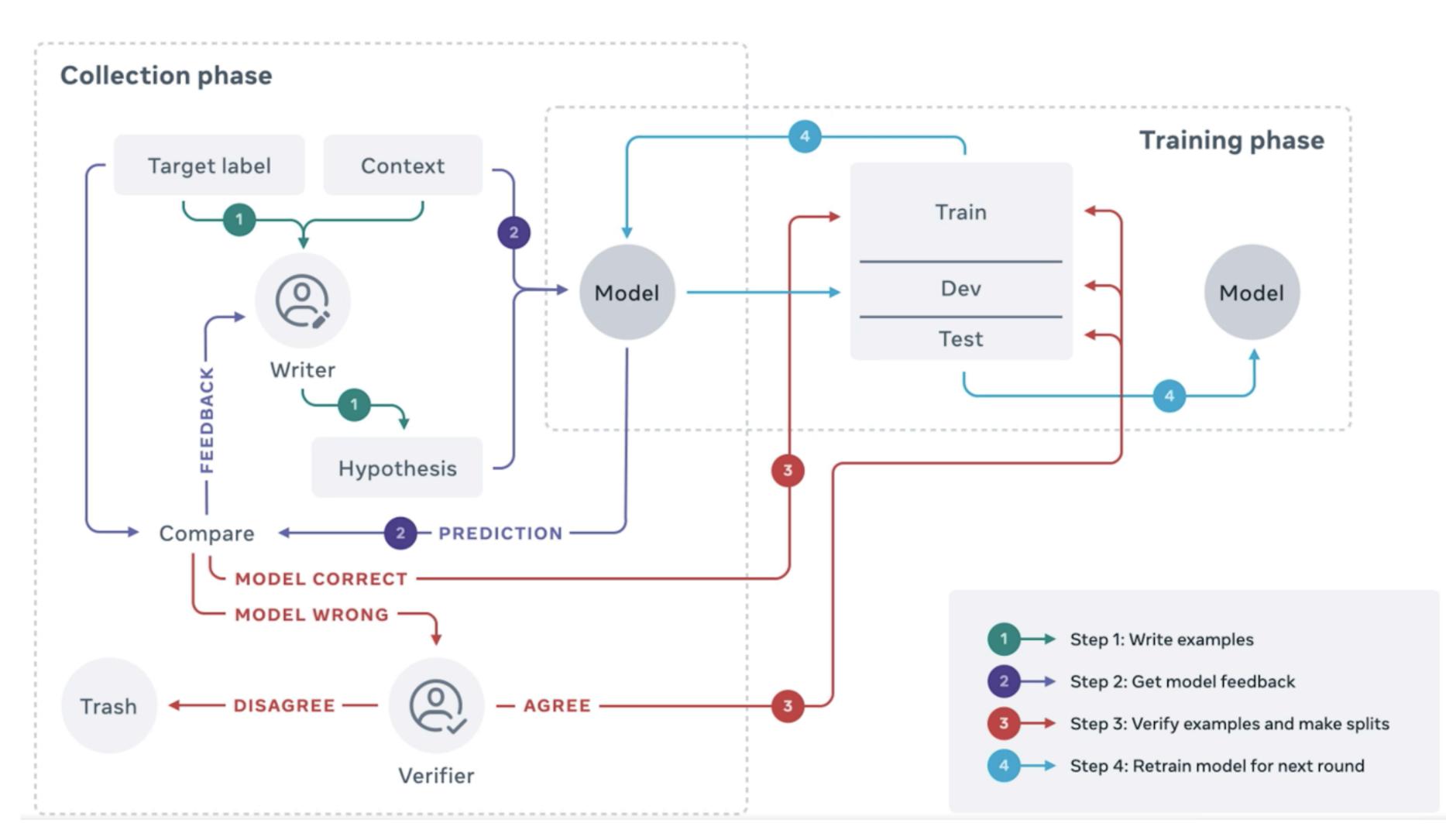
Dynabench is a research platform for dynamic data collection and benchmarking. Static benchmarks have well-known issues: they saturate quickly, are susceptible to overfitting, contain exploitable annotator artifacts and have unclear or imperfect evaluation metrics.

This platform in essence is a scientific experiment: can we make faster progress if we collect data dynamically, with humans and models in the loop, rather than in the oldfashioned static way?



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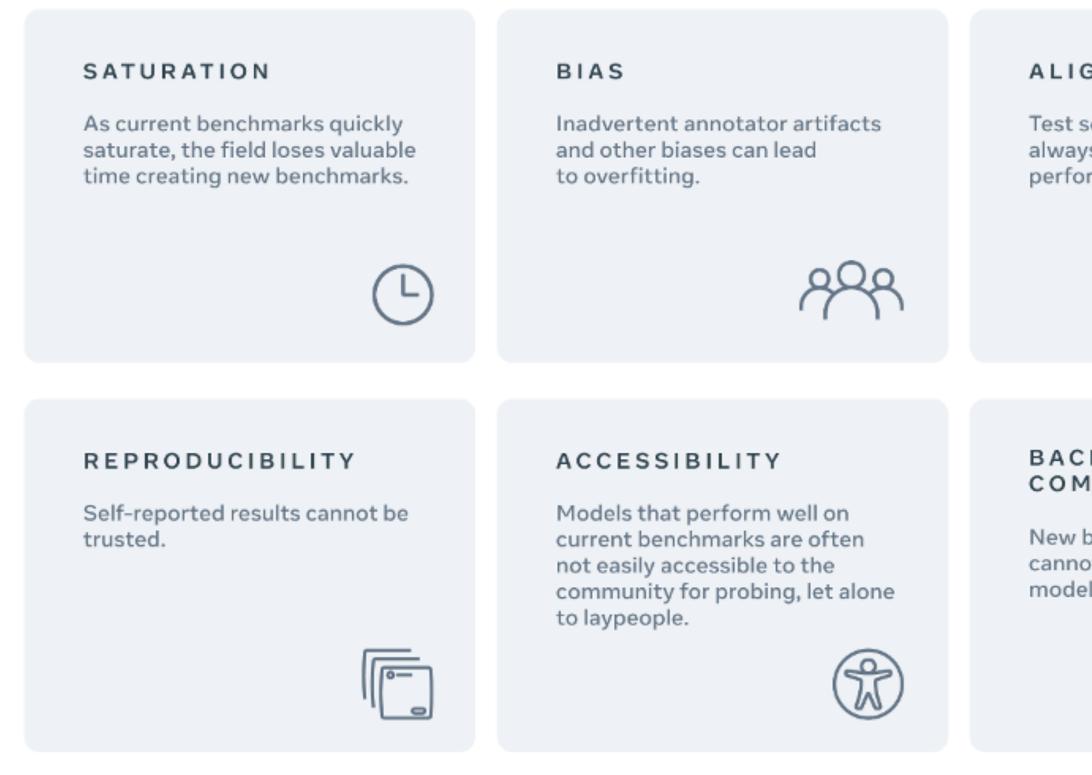
Dynamic adversarial data collection (ANLI; Nie et al. 2019)





Dynabench Goals

Dynabench is a comprehensive benchmarking platform that tackles many well-known problems in benchmarking and model evaluation.



ALIGNMENT

Test set performance is not always a good proxy for performance in the real-world.



LEADERBOARD CULTURE

Focusing too much on leaderboard rankings hinders creative solutions to AI problems.

\checkmark	_
✓.	-
\checkmark	-

BACKWARD COMPATIBILITY

New benchmark or dataset cannot easily re-evaluate old models on the new data.

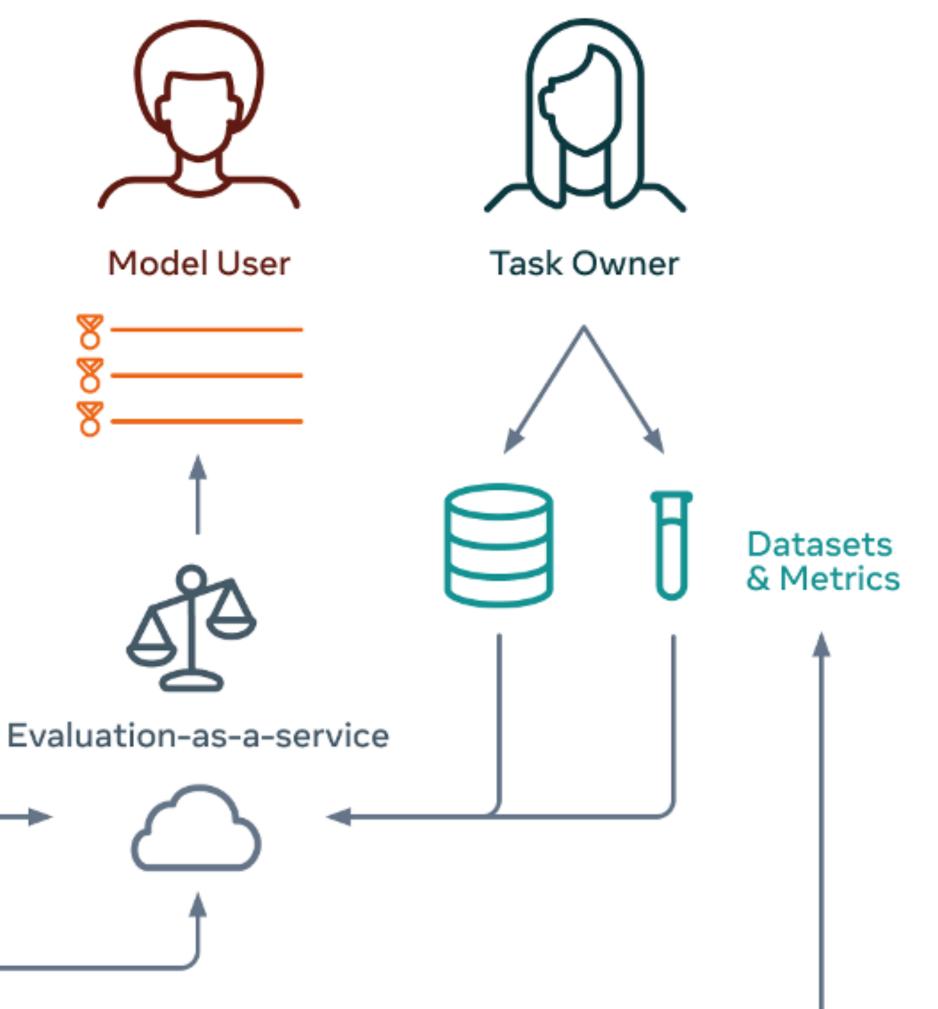


UTILITY

Not everyone is optimizing for the same metric. Efficiency might be traded off against accuracy.



Dynabench Roles Model Builder Breaker (e.g. Turker) 0 Ο Adversarial Data



Large-scale continuous evaluation

generally include around 10–15 different tasks.

- "When a measure becomes a target, it ceases to be a good measure." –Goodhart's law
- **GEM** (Gehrmann et al., 2021), which explicitly aims to be a 'living' benchmark,
- **BIG-Bench**, a recent collaborative benchmark for language model probing

As AI systems become more interactive, what would a benchmark look like

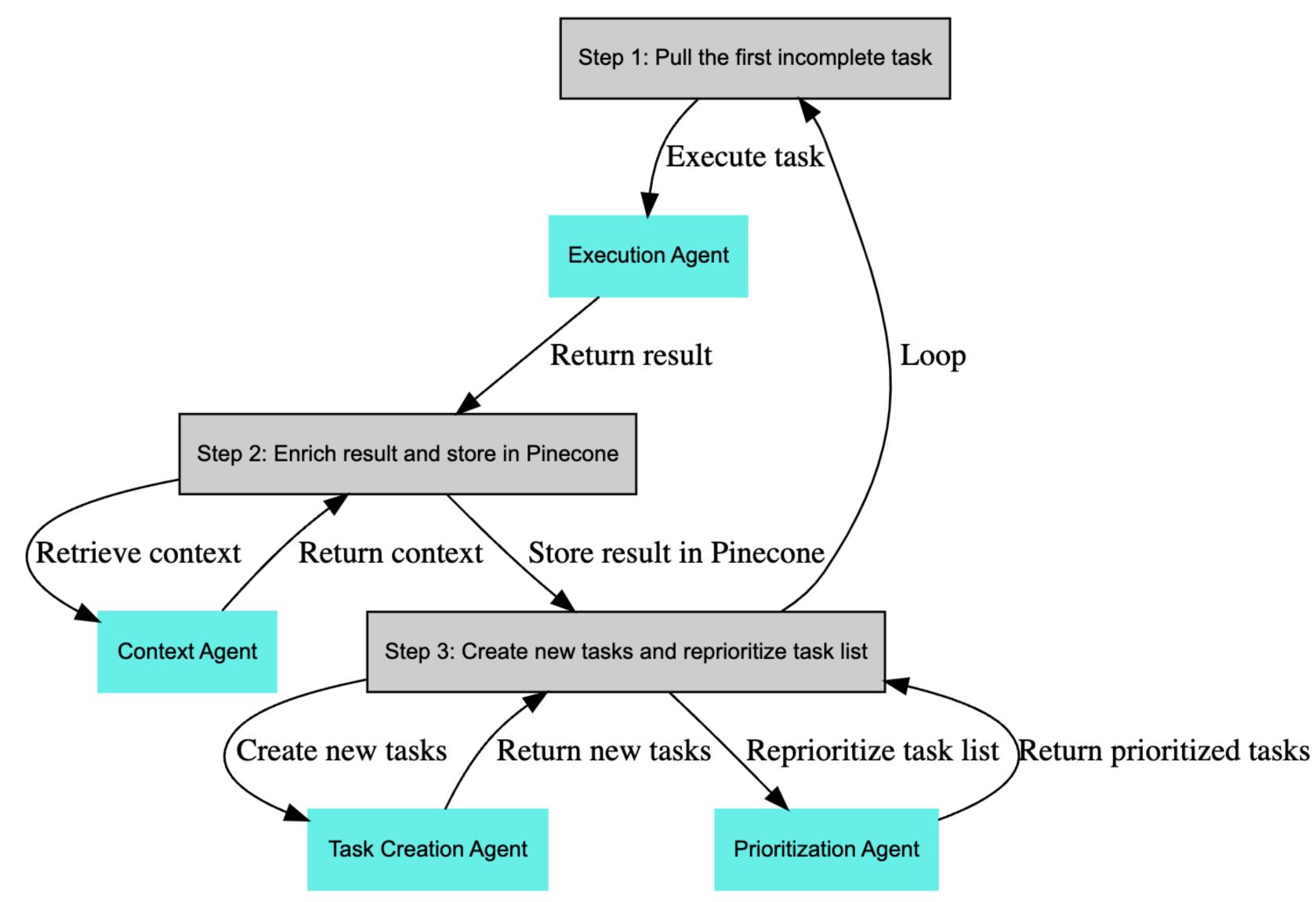
Generative Al Agents



https://arxiv.org/pdf/2304.03442.pdf

BabyAGI

https://github.com/yoheinakajima/babyagi





If benchmark is helping us reach a goal, what is that goal today?