

CS329X: Human Centered NLP

Human-Al Collaboration

Diyi Yang

Stanford CS

Overview

Overview of human-model interaction

Key desiderata for human-model collaboration

"Collaborating with LLMs" –prompting

Slides credit to Sherry Wu

Human-Al Interaction: What is it?

Basically, a field where humans and Als interact.

Humans: Al researchers, model developers, domain experts, end users.

Als: dialog system, translator, recommender system, autonomous driving system.

Interact:

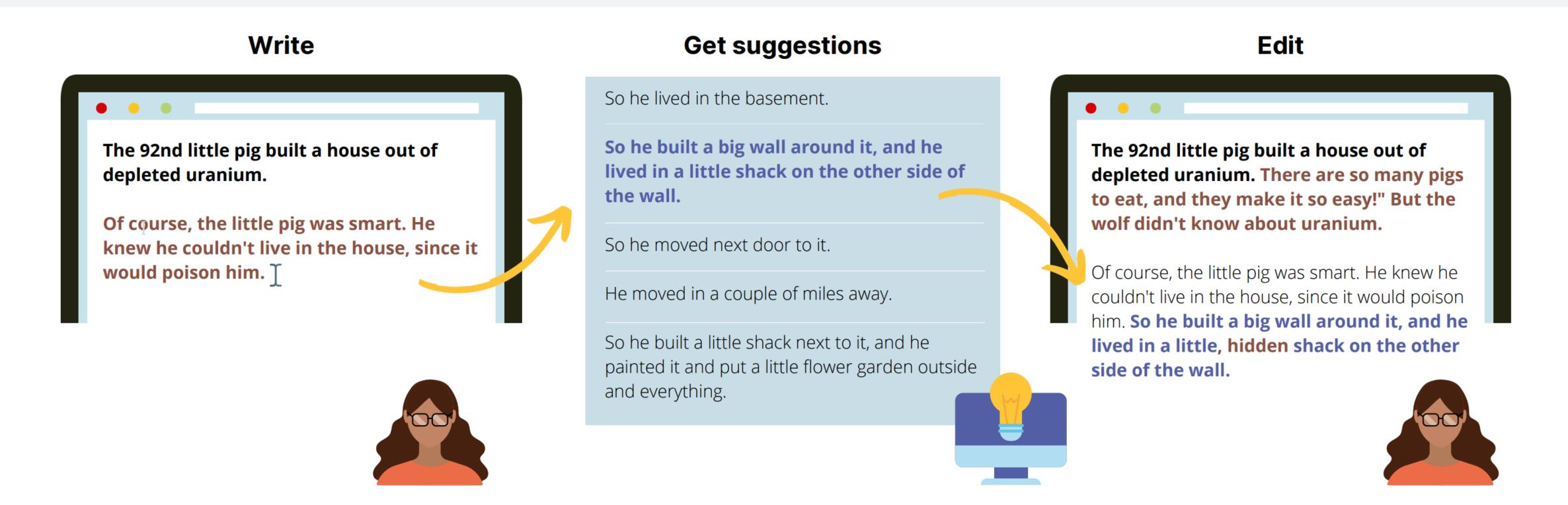
Humans collaborate with AI,

Humans get assistance from Al-infused applications,

Humans analyze Al

Human-Al Collaboration

The **cooperative** and **coordinated** interaction between humans (mostly non-Al experts) and Al to solve *complex problems* or *achieve certain goals*.

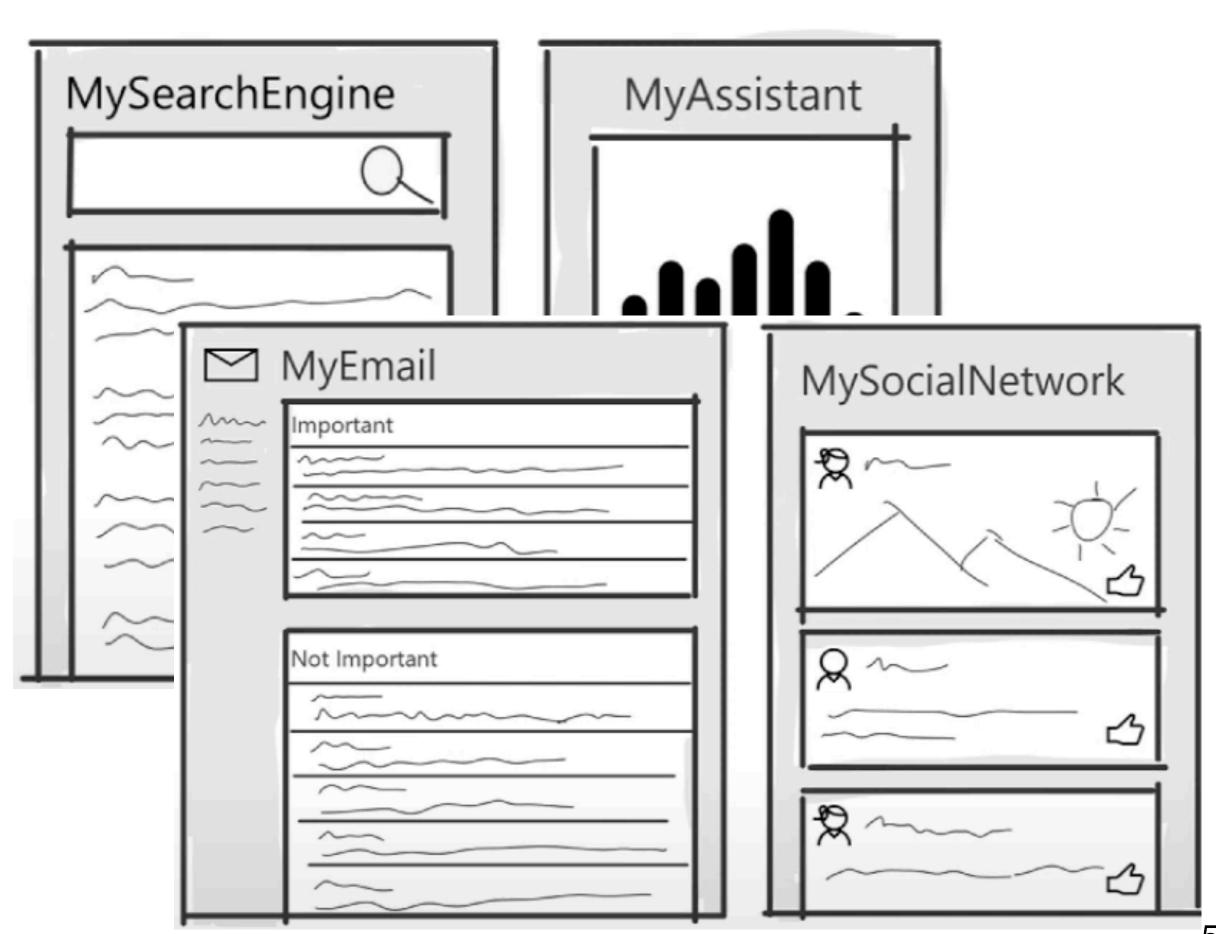


Humans get assistance from Al-infused applications

Similar to humans interacting with models: humans are still mostly **end users** and **domain experts**. The big difference is **Al is not a partner**, but a tool (and part of "Al-infused applications")

Because we want people to get smooth assistance from Als when they are in the larger application context (e.g., Amazon suggestion page is only one section), the concept of task & Al model is blurred.

because these models are wrapped under mature visual interfaces, people tend to have less tolerance when they get wrong.



Humans analyze Models

So Al experts can systematically understand ML models, and go beyond aggregated scores.

"Understanding the **broader terrain** of errors is an important starting point in pursuing systems that are robust, safe, and fair...

[We need to] identify **cohorts** with higher error rates and diagnose the **root causes** behind these errors."

Eric Horvitz / Microsoft, 2021

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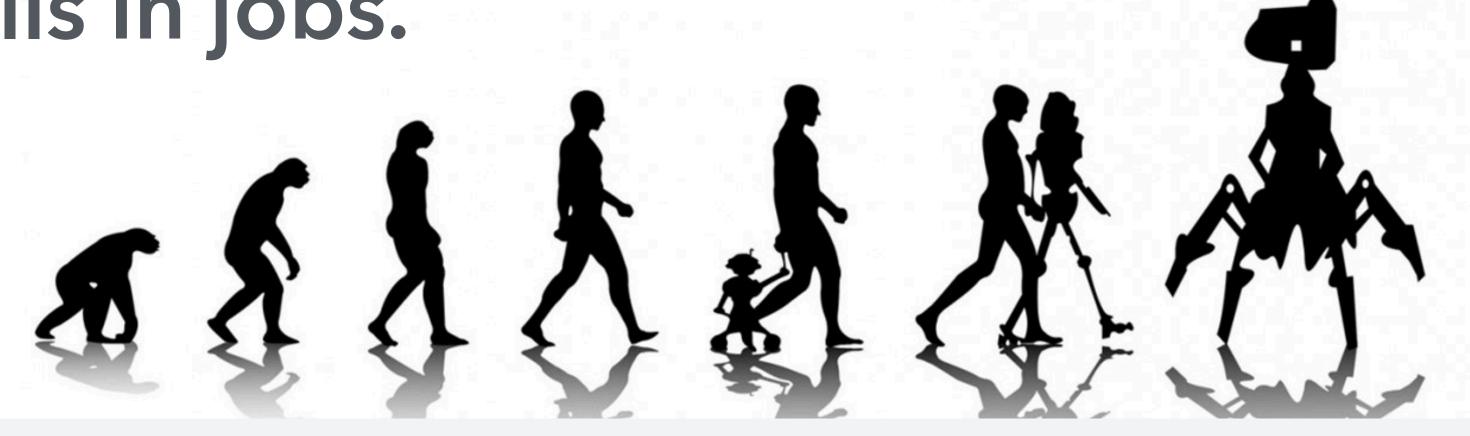
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Al does not automate jobs. It automates certain skills in jobs.



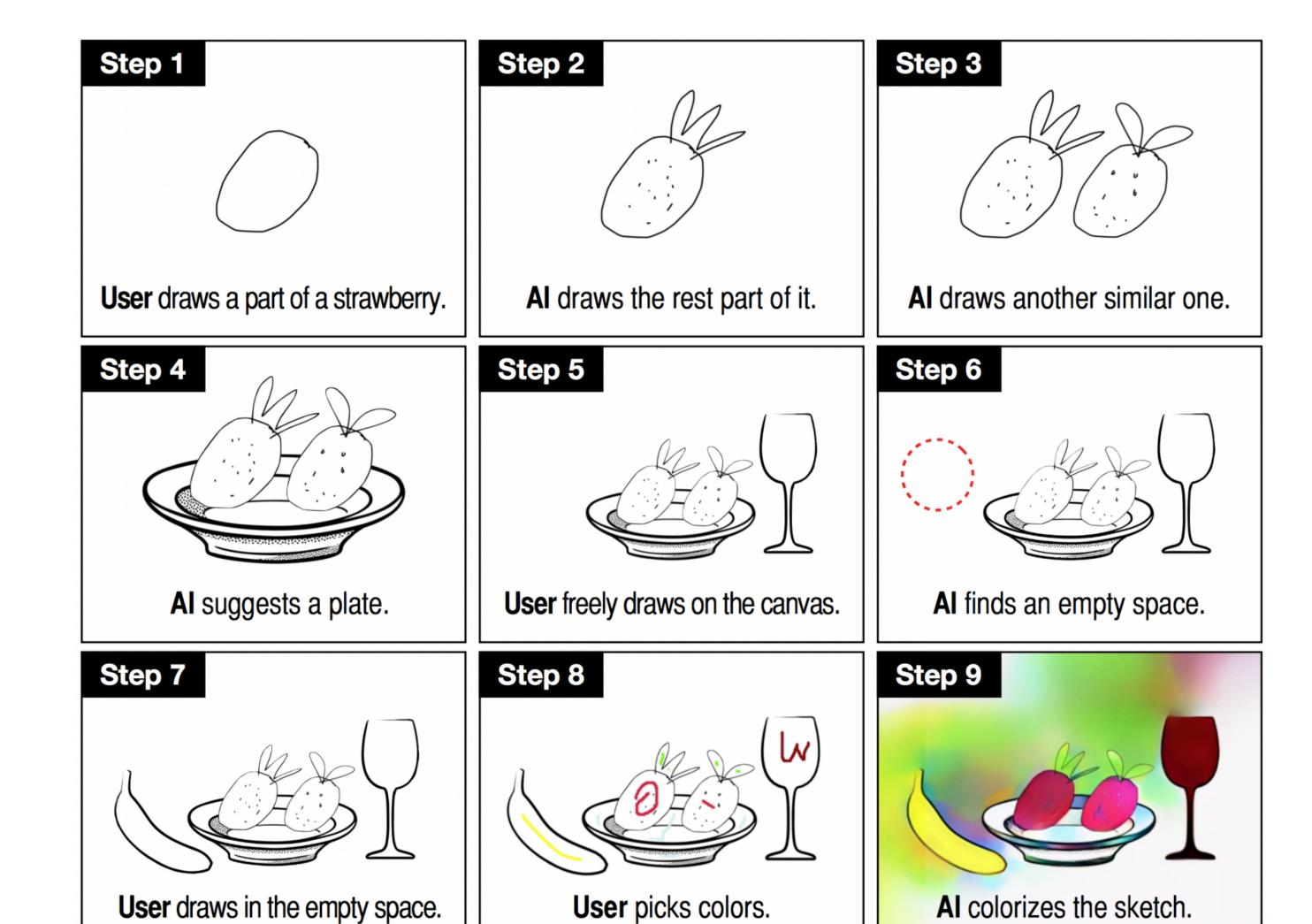
Al will indeed automate most repetitive and physical tasks...and will push human professionals up the skillset ladder into uniquely human skills such as creativity, social abilities, empathy, and sense-making, which machines cannot automate.

Pedro Uria-Recio, 2019

Human-Al Collaboration

The **cooperative** and **coordinated** interaction between humans (mostly non-Al experts) and Al to solve *complex problems* or *achieve certain goals*.

What are some examples?



Oh, Changhoon, et al. "I lead, you help but only with enough details: Understanding user experience of co-creation with artificial intelligence." CHI 2018

Write

The 92nd little pig built a house out of depleted uranium.

• • •

Of course, the little pig was smart. He knew he couldn't live in the house, since it would poison him.



Get suggestions

So he lived in the basement.

So he built a big wall around it, and he lived in a little shack on the other side of the wall.

So he moved next door to it.

He moved in a couple of miles away.

So he built a little shack next to it, and he painted it and put a little flower garden outside and everything.

Edit

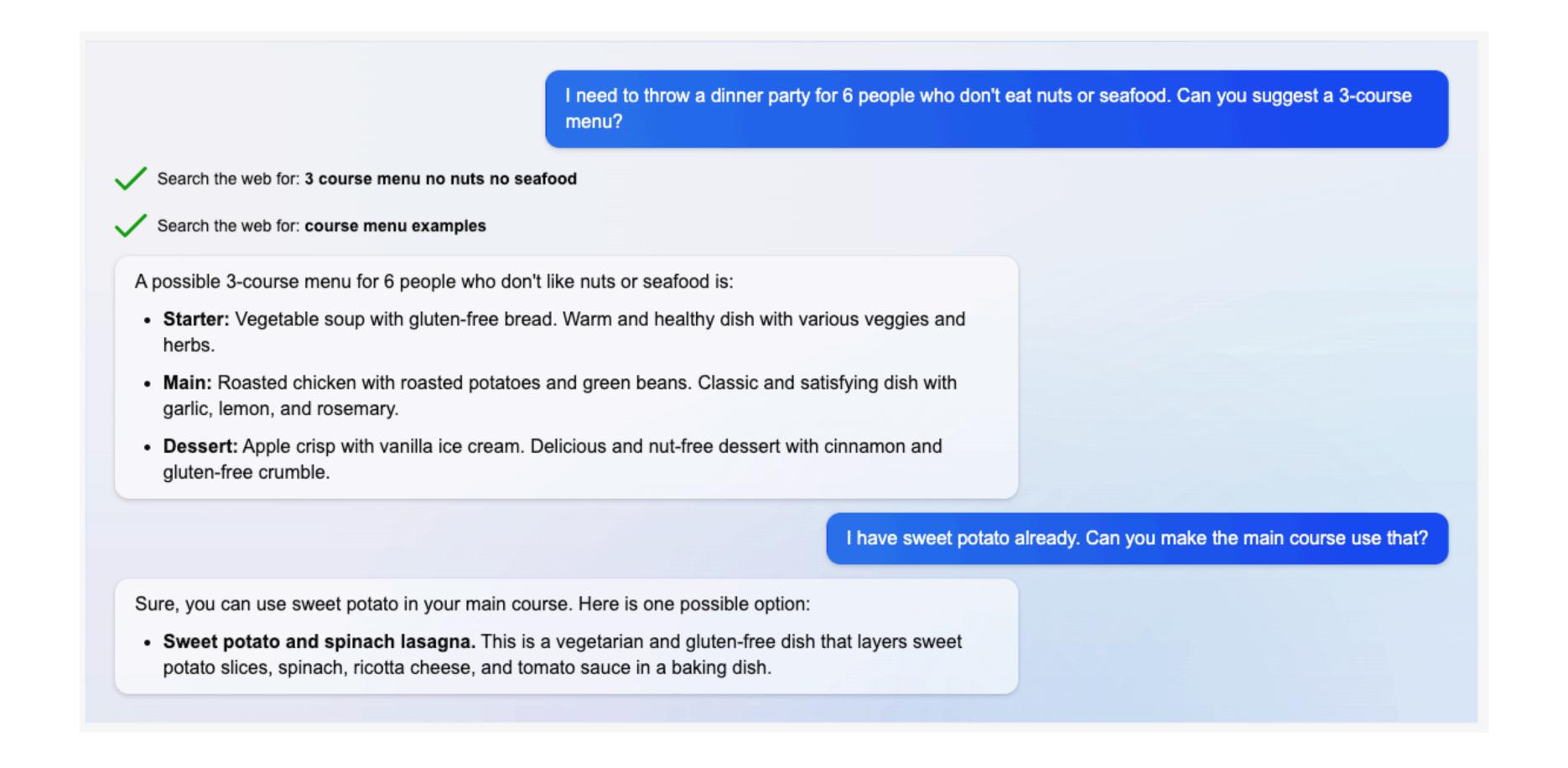
The 92nd little pig built a house out of depleted uranium. There are so many pigs to eat, and they make it so easy!" But the wolf didn't know about uranium.

• • •

Of course, the little pig was smart. He knew he couldn't live in the house, since it would poison him. So he built a big wall around it, and he lived in a little, hidden shack on the other side of the wall.



```
sentiment.ts
                                           addresses.rb
             1 #!/usr/bin/env ts-node
 3 import { fetch } from "fetch-h2";
 5 // Determine whether the sentiment of text is positive
 6 // Use a web service
 7 async function isPositive(text: string): Promise<boolean> {
     const response = await fetch(`http://text-processing.com/api/sentiment/`, {
      method: "POST",
      body: `text=${text}`,
      headers: {
        "Content-Type": "application/x-www-form-urlencoded",
13
14
     const json = await response.json();
16
     return json.label === "pos";
```



Human-Al Collaboration: key aspects

Complementary performance: Leverage the strengths of both AI and humans, to achieve better outcomes than either could accomplish alone.

Alignment: Al should behave in a ways that humans expect their "teammate" to behave.

Cooperative interaction: The interaction between human and AI should work in a way that's both intuitive for the human, and compatible to model characteristics.

Reasonable task allocation: Assign tasks that the model can perform.

Appropriate trust: humans should follow Als when they are correct, but identify and correct their mistakes otherwise.

Human-Al Collaboration: key aspects

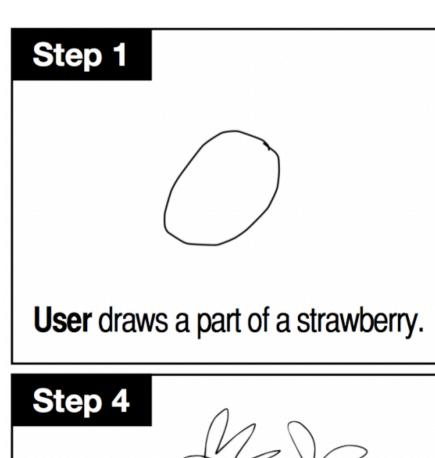
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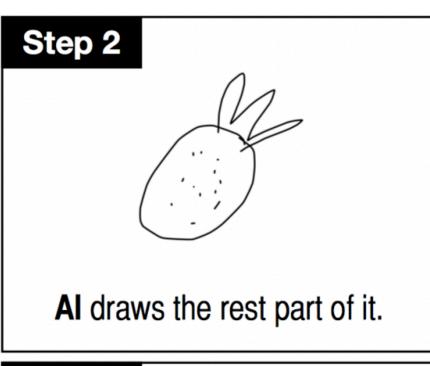
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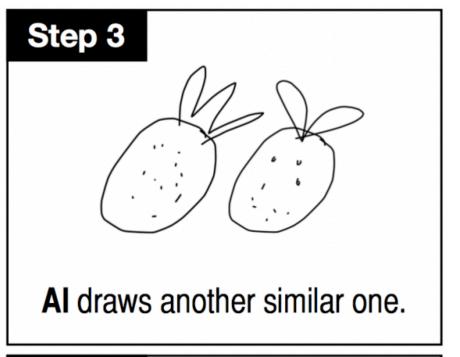
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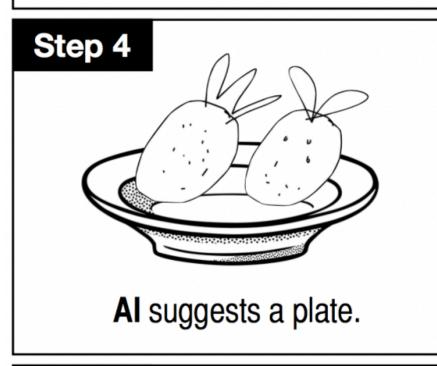
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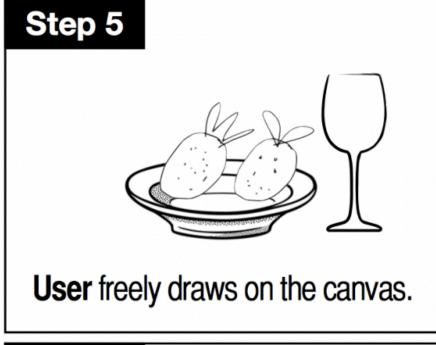
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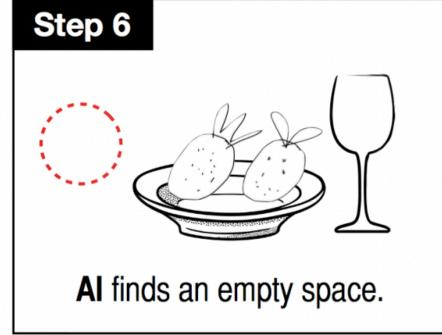


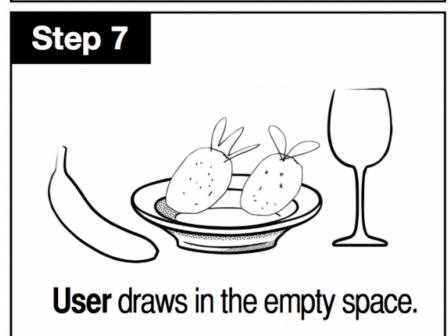


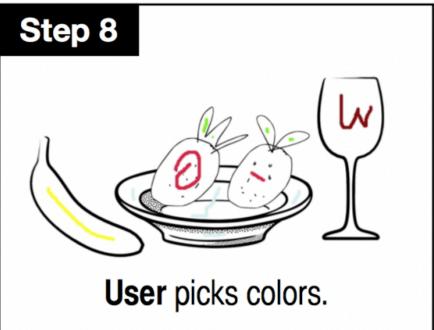












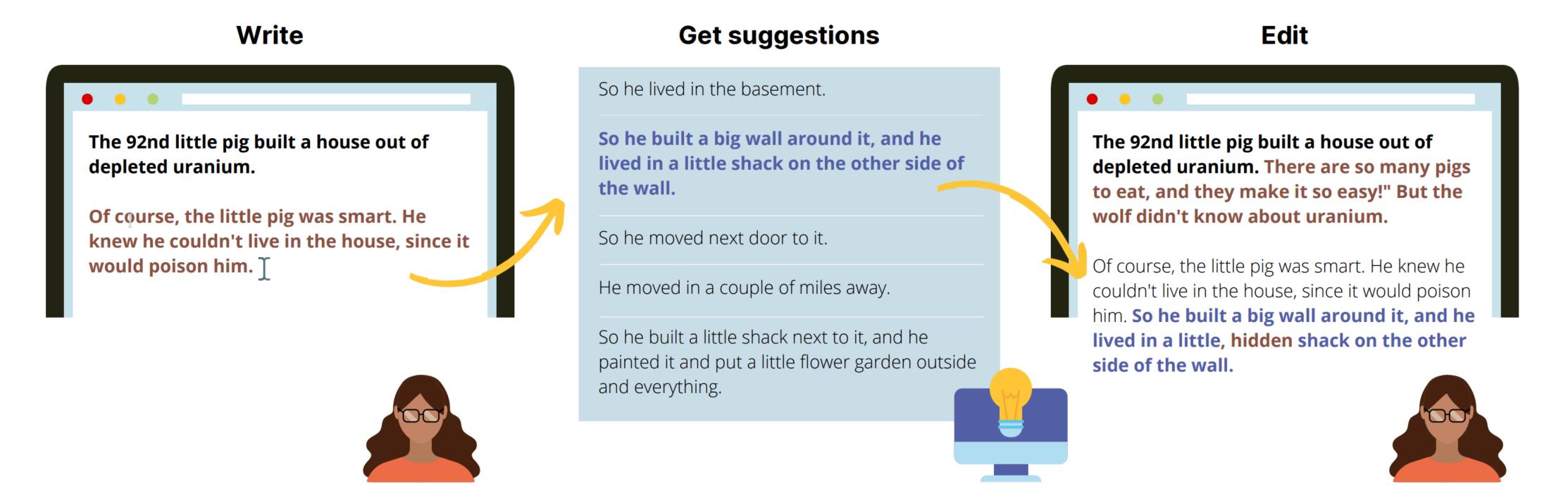


Human (good at taking visual signals, draw rough shapes, annotate colors): lead the creation

Model (repetition, detect space, detect objects & find similar objects):

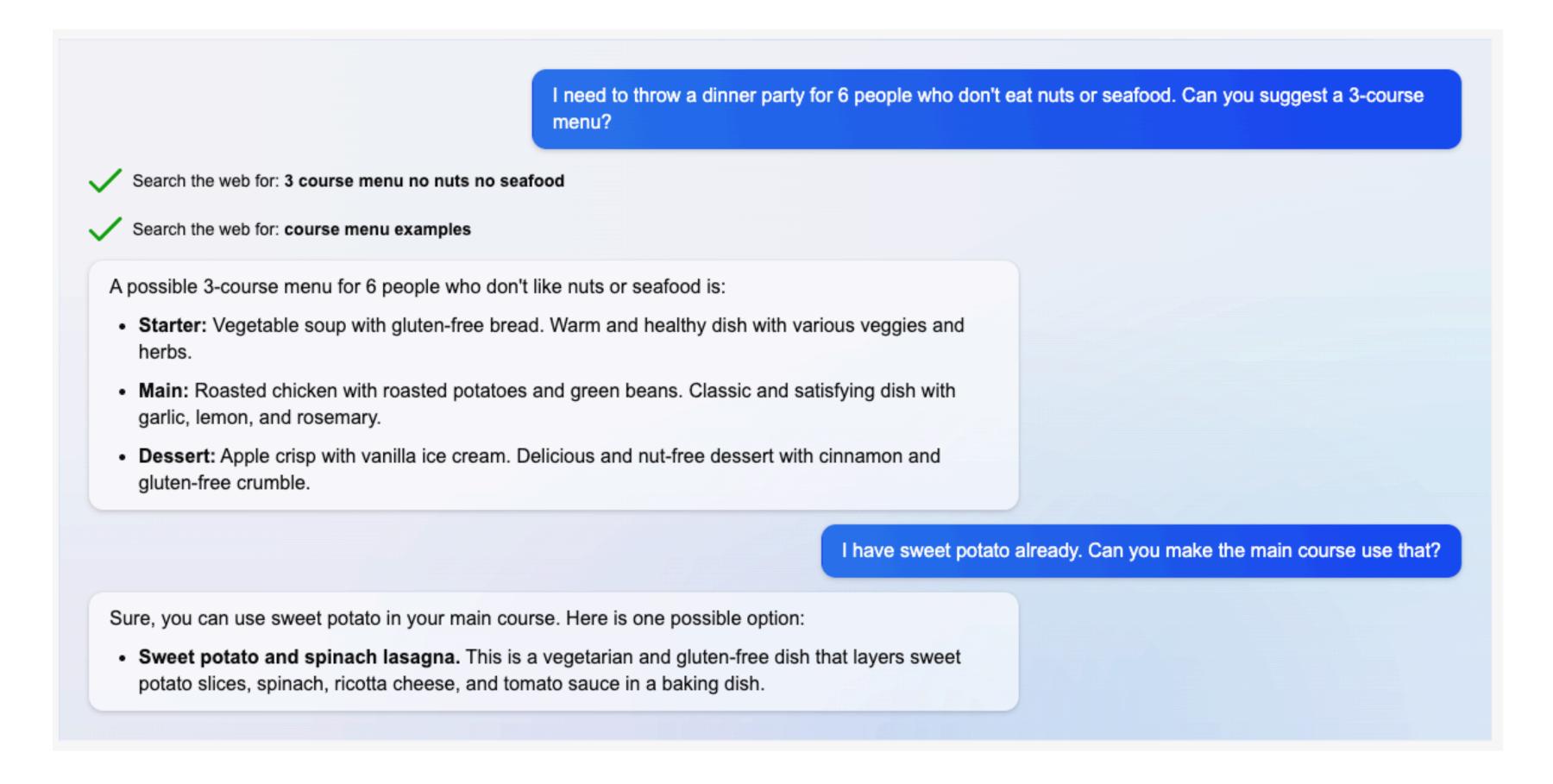
Automate repetitive tasks like draw the dots, duplicate the figure, fill in the color, suggest places to draw more

Oh, Changhoon, et al. "I lead, you help but only with enough details: Understanding user experience of co-creation with artificial intelligence." CHI 2018



Human (good at logical reasoning and consistency in long doc, know what they want) Lead the writing, edit the model suggestions.

Model (good at quick generate text many versions of text based on local context) Suggest next sentences, help write faster & overcome writer's block



Human (*know what they need*) Iteratively refine their search query **Bing search chat** (*have access to web*) Provide answer given the search constraint

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Align models with humans through human feedback

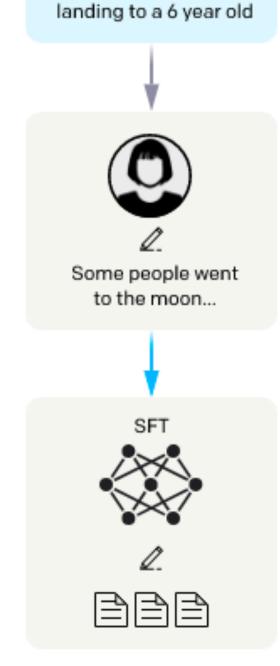
Step 1

Collect demonstration data, and train a supervised policy.

A prompt is sampled from our prompt dataset.

A labeler demonstrates the desired output behavior.

This data is used to fine-tune GPT-3 with supervised learning.



Explain the moon

Step 2

Collect comparison data, and train a reward model.

A prompt and several model outputs are sampled.

A labeler ranks the outputs from best to worst.

This data is used to train our reward model.



People went to

D > G > A = B

0

Moon is natural

Step 3

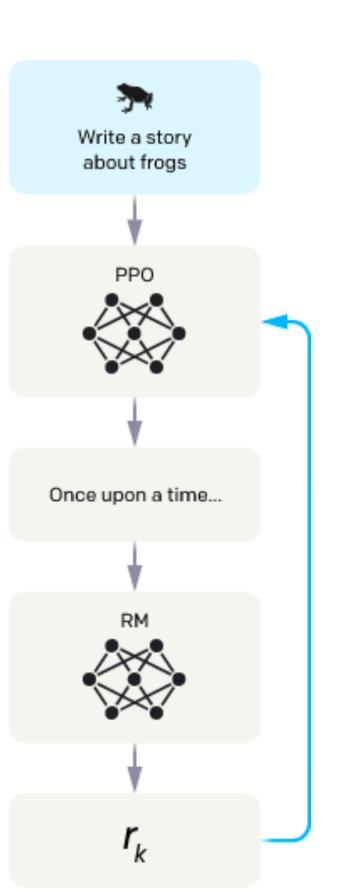
Optimize a policy against the reward model using reinforcement learning.

A new prompt is sampled from the dataset.

The policy generates an output.

The reward model calculates a reward for the output.

The reward is used to update the policy using PPO.



Work with InstructGPT: Prompt Engineering

Prompts involve instructions and context passed to a LM to achieve a desired task **Prompt engineering** is the practice of developing and optimizing prompts to efficiently use language models (LMs) for a variety of applications

A prompt is composed with the following components:

Instructions

Classify the text into neutral, negative or positive

Input context, & data
Output indicator, & output

Text: I think the food was great.

Sentiment: positive

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Work with LLM: Account for model characteristics

Language models are not deterministic.

Language models are lack of reasoning capabilities.

Language models sometimes cannot understand instructions.

Model is not deterministic.

My favorite animal is a dog

How should we deal with such "randomness"?

Depends on the task – remove, express, or exploit!

dog = 8.53%

$$cat = 5.12\%$$

$$gir = 4.71\%$$

horse =
$$3.66\%$$

$$p = 2.92\%$$

$$pig = 2.75\%$$

$$lion = 2.26\%$$

tiger =
$$2.21\%$$

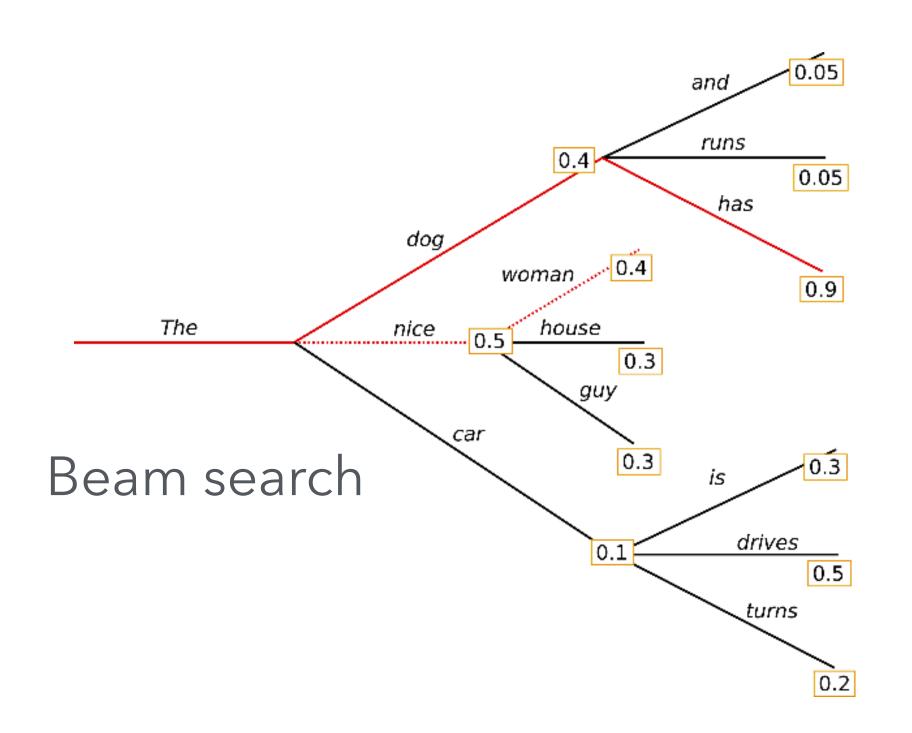
Total: -2.46 logprob on 1 tokens (37.96% probability covered in top 10 logits)

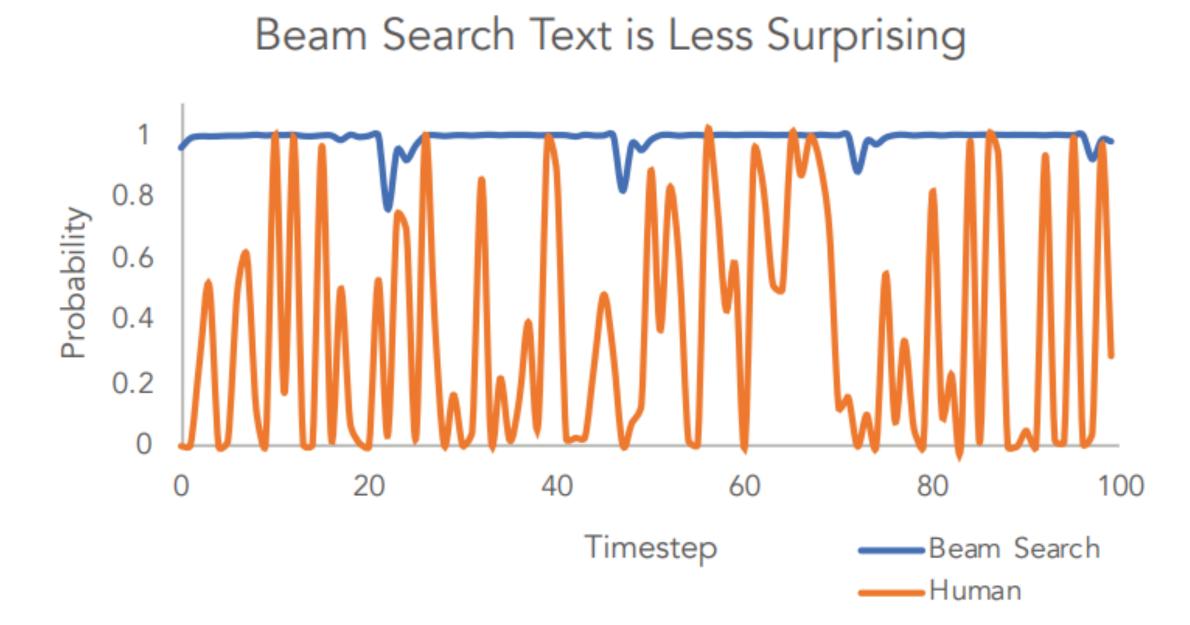
Non-deterministic LMs Remove uncertainty

When tasks need certainty,

(e.g. write formal documents, need to maximize grammatical correctness, do classification) remove uncertainty through:

parameters (temperature=0), less surprising sampling (e.g. beam search or look ahead)





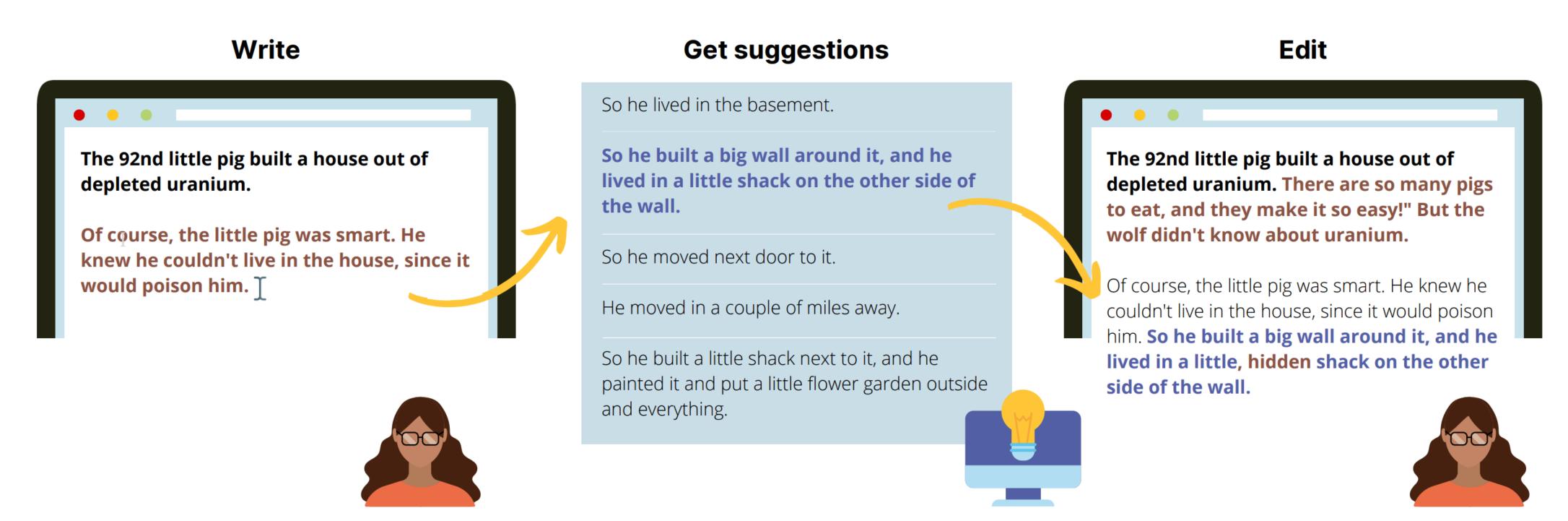
https://huggingface.co/blog/how-to-generate

Non-deterministic LMs 🔁 Exploit uncertainty

When tasks need creativity,

(e.g. creative writing, ideation, etc.) exploit uncertainty through:

parameters (temperature=1), re-running the generation multiple times



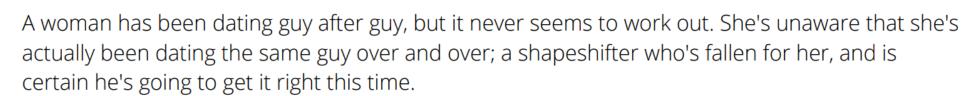
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exploit uncertainty through:

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The shapeshifter himself has always been very talented, and is a doctor by trade. He has decided to shape shift into a more handsome doctor, kind of like a George Clooney from ER type, because he knows she loves the show. The shapeshifter, Jim, has done his research and found out that the woman, Karen, goes to her primary care physician at the Coastal Medical institute. He also knows that she's been going to **Dr. John**, who is a specialist, for her asthma. So Jim set up a master plan.

He applied for a job at the medical center, knowing that **<u>Dr. John</u>** had a vacation coming up. Jim got a job as an Asthma specialist, and he made sure he shadowed **Dr. John** with all of his patients so he would take over when he was on vacation. [...]



"Model hallucination is not a bug but a feature": Can be inspirations to humans.

Lack-of-reasoning Chain-of-Thought

Plain prompting

Model cannot do reasoning based on word distribution

CoT prompting

With "step-by-step", can make the final output depend on intermediate ones I went to the market and bought 10 apples. I gave 2 apples to the neighbor and 2 to the repairman. I then went and bought 5 more apples and ate 1. How many apples did I remain with?

11 apples

I went to the market and bought 10 apples. I gave 2 apples to the neighbor and 2 to the repairman. I then went and bought 5 more apples and ate 1. How many apples did I remain with?

Let's think step by step.

First, you started with 10 apples. You gave away 2 apples to the neighbor and 2 to the repairman, so you had 6 apples left. Then you bought 5 more apples, so now you had 11 apples. Finally, you ate 1 apple, so you would remain with 10 apples.

Prompting can be further improved by *instructing the model to reason* about the task.

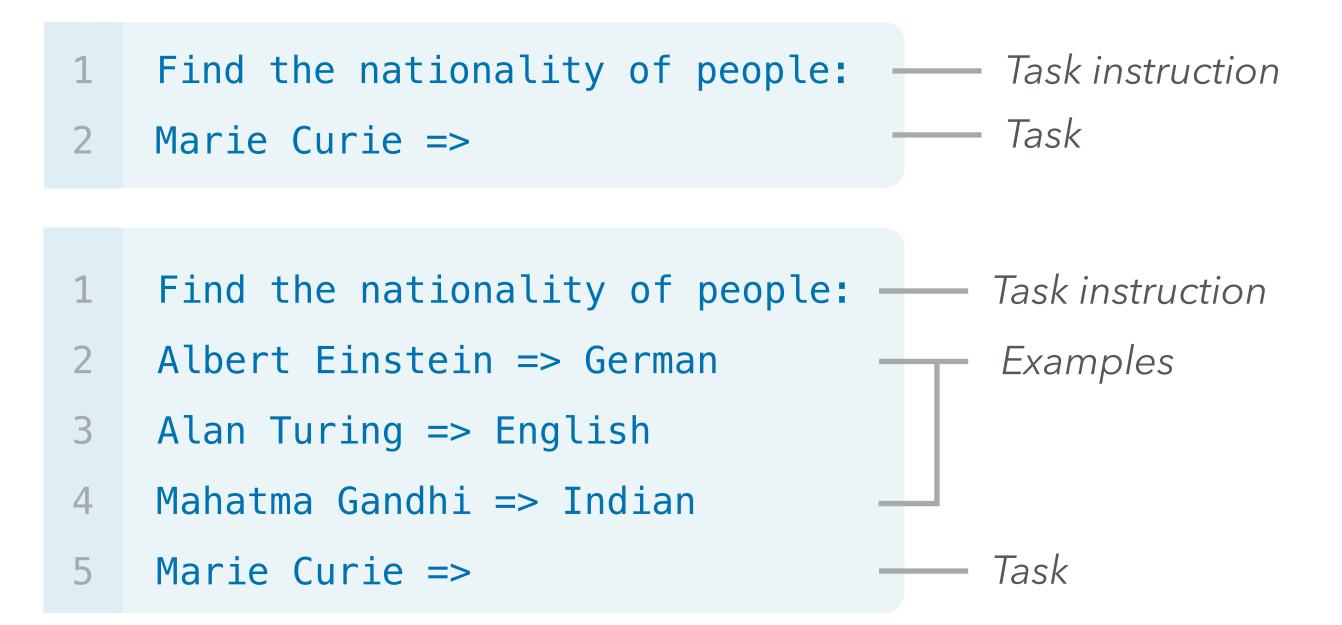
Cannot understand instruction Few-shot

Zero-shot

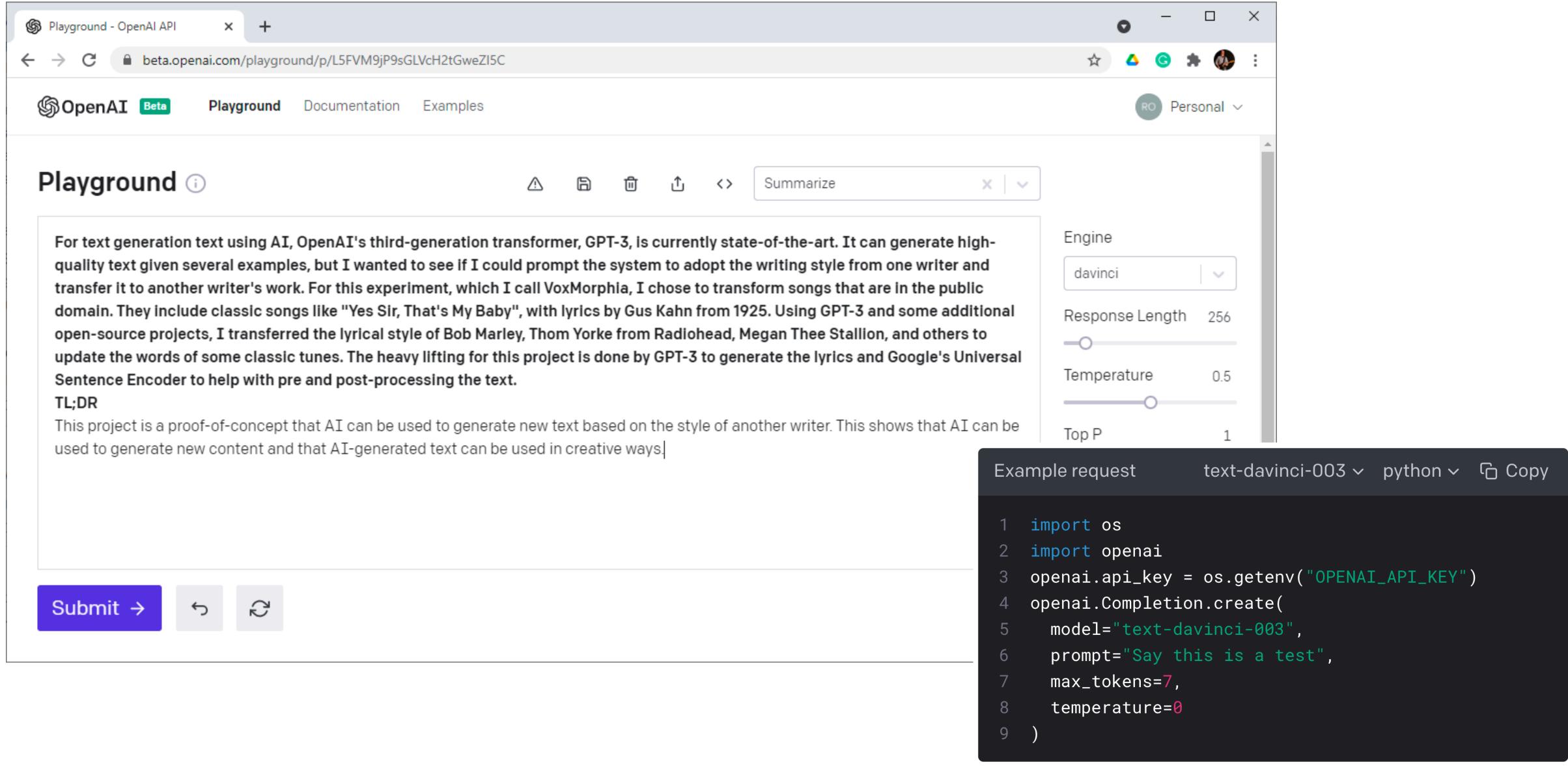
Natural language descriptions only

Few-shot

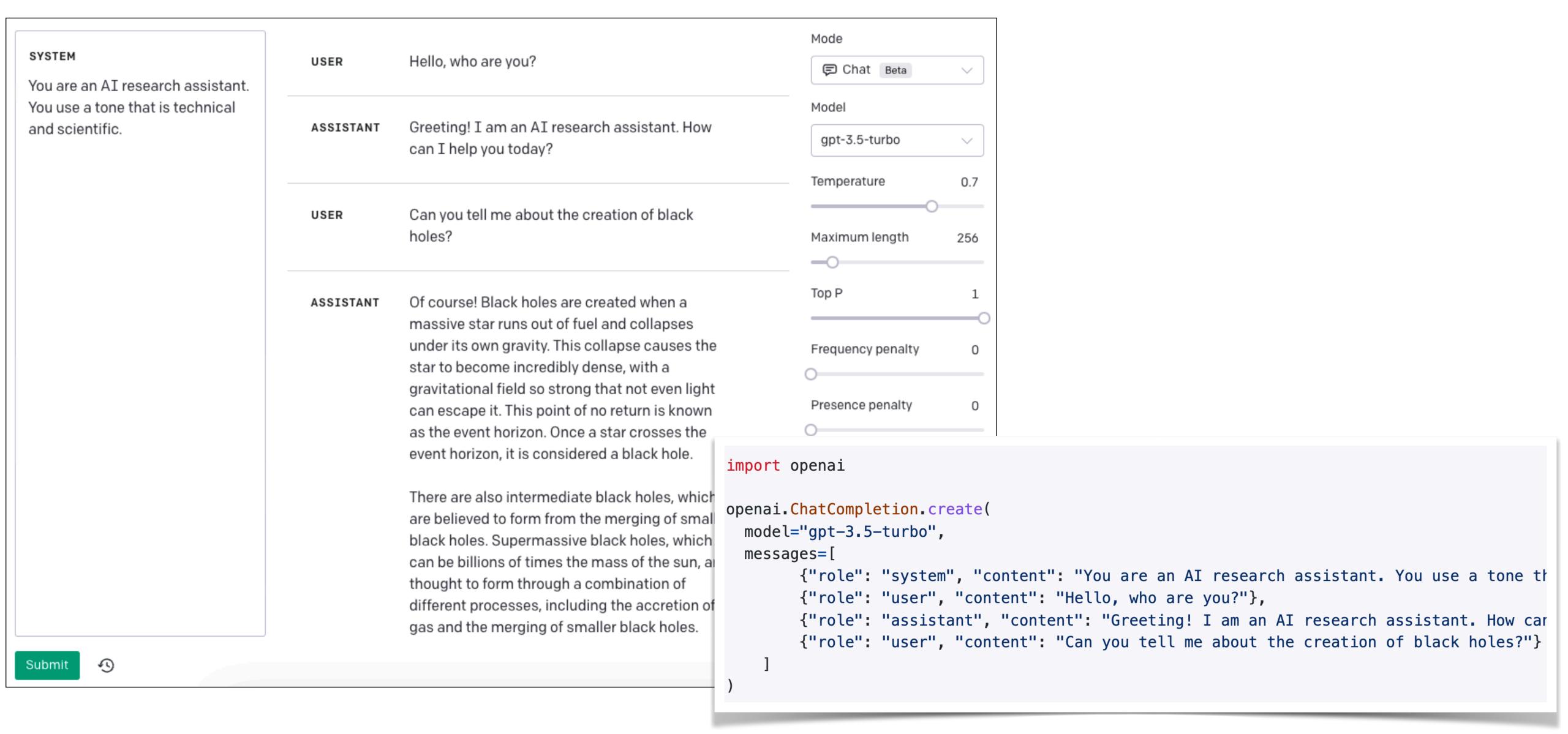
Description + a few example (3-100) [5-10 is most common]



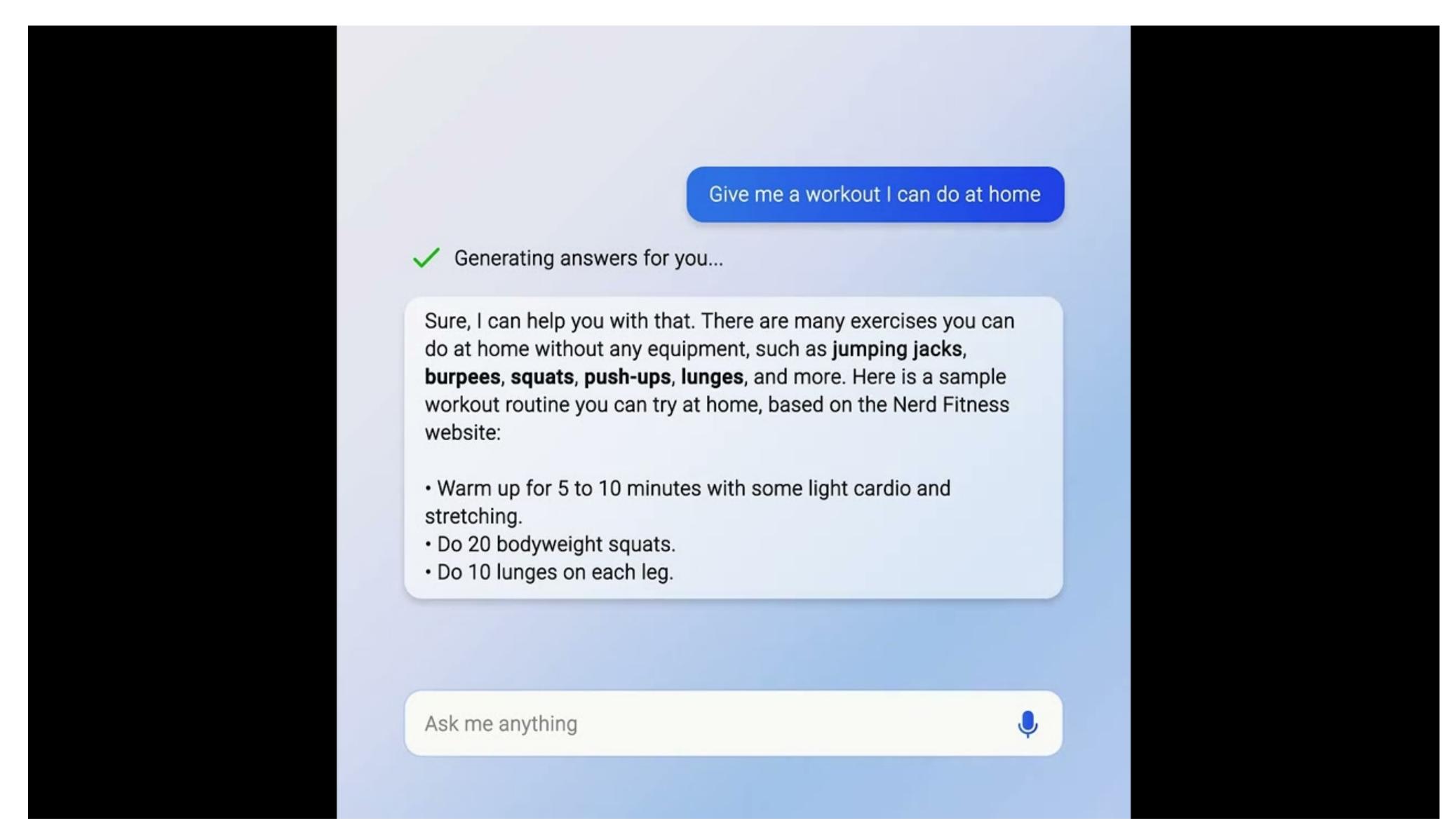
ChatGPT vs. InstructGPT: Different Interface



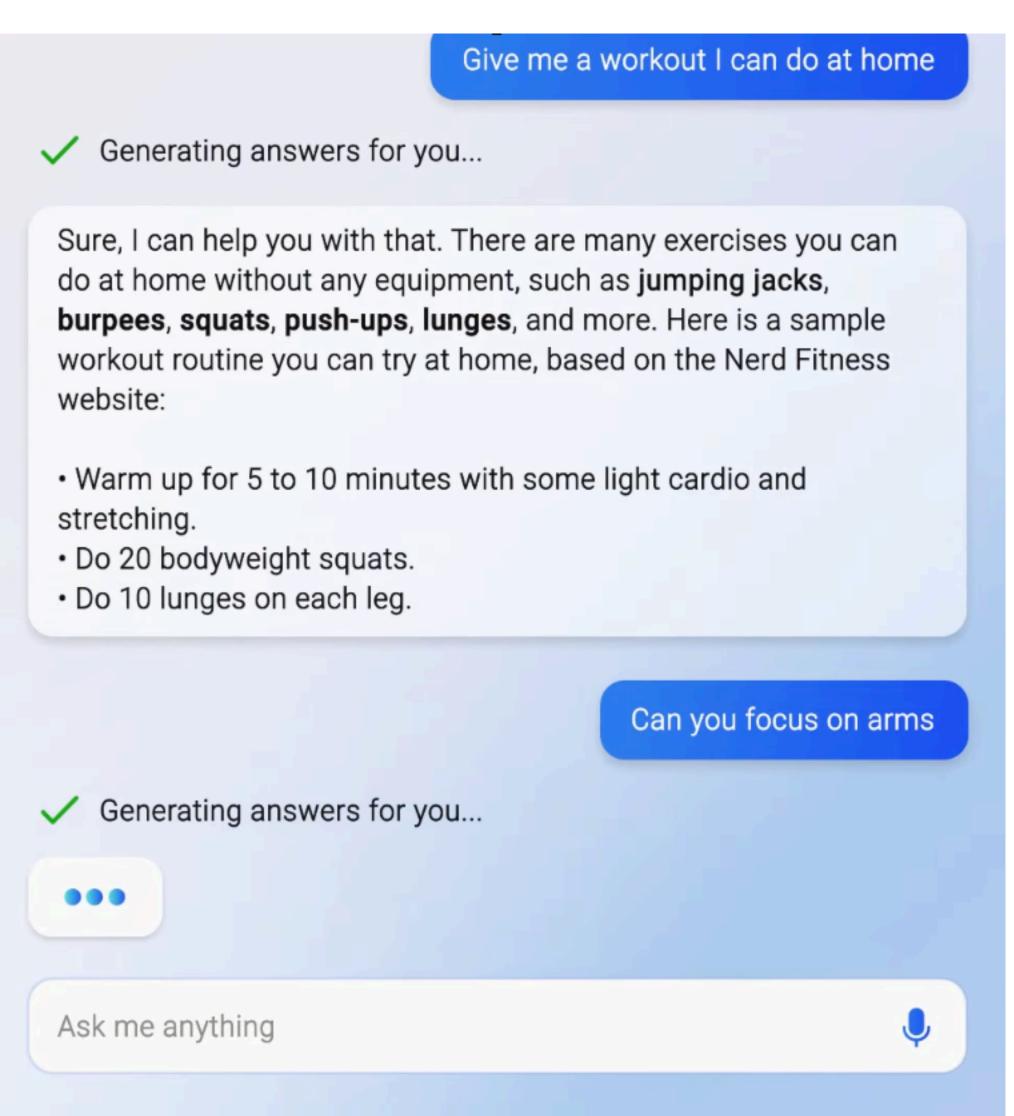
ChatGPT vs. InstructGPT: Different Interface



Interface changes how you use the Al!



Interface changes how you use the Al!



e.g., Compared to traditional search, Bing w/ ChatGPT is more conversational and interactive...

You use more complete sentences.

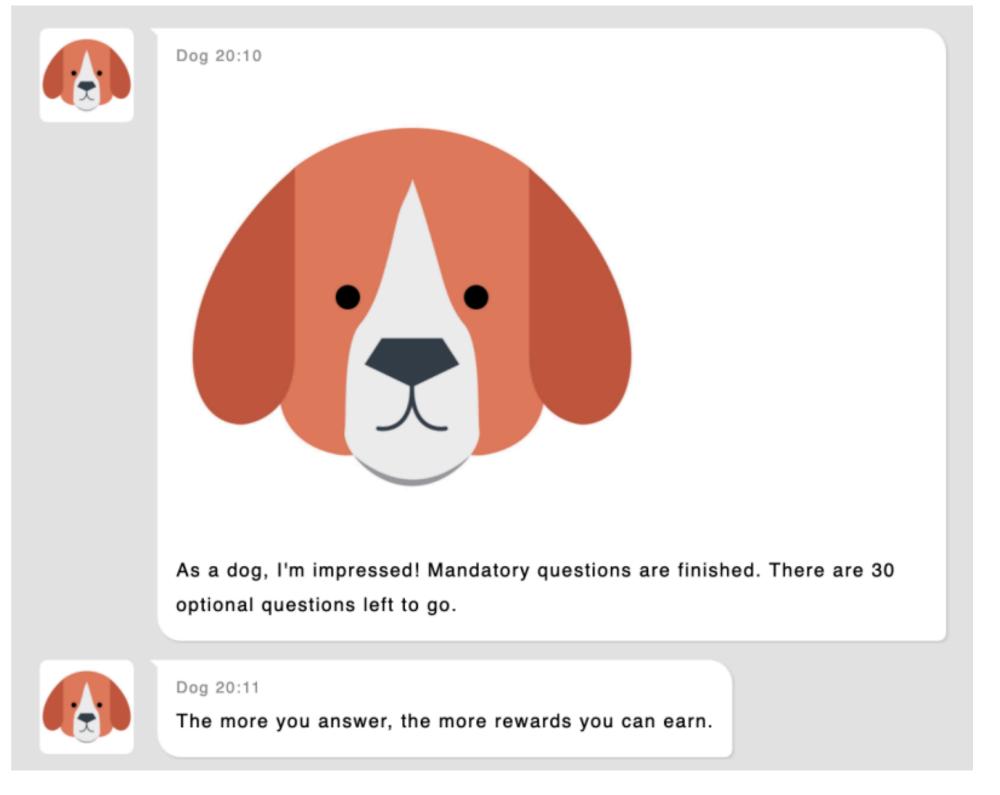
You get a sense of talking to someone and therefore become more polite ("can you...")

You can follow up more naturally, in a context-aware way.

You use more "engaging" prompts, e.g. ask questions, provide commands, do reflections, etc.

Higher level: Human perception on Al change things

	Opening greetings				
God	Greetings, I am the lord thy god. You shall help researchers at a university by participating in this research. So I ask you, does this sound good to you?				
Human	Hello, pleasure to meet you! I'm a trained professional who will guide you here. Researchers in a university asked me to facilitate this research. Does this sound good to you?				
Animal	Woof woof, nice to meet you! I'm a dog that will help you conduct this research ()				
Plant	Hello, nice to meet you! I'm an avocado that will help you conduct this research ()				
Inorganic Object	Hello, nice to meet you! I'm a book that will help you conduct this research ()				
Control	Hello! Can you help researchers in a university by conducting this research?				



	User Engagement Scale	Perceived Usability (UES)	Aesthetic Appeal (UES)	Interest-Enjoyment (IMI)	Cognitive Load
God	-	↓ than Control	-	-	↑ than Object, Control
Human	_	-	-	↑ than Object	↑ than Object
Animal	↑ than Object	-	↑ than Object	↑ than Object	-
Plant	-	-	-	-	↑ than Object
Object	↓ than Animal	-	↓ than Animal	↓ than Human, Animal, Control	↓ than God, Human, Plant
Control	-	↑ than God	-	↑ than Object	↓ than God
					. 14

Higher level: Human perception on Al change things











In other words, when designing interactions, we should consider what the model can do, and also manipulate how we want humans to think of AI models depending on our goals.

	User Engagement Scale	Perceived Usability (UES)	Aesthetic Appeal (UES)	Interest-Enjoyment (IMI)	Cognitive Load
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Human	_	-	-	↑ than Object	↑ than Object
Animal	↑ than Object	-	↑ than Object	↑ than Object	-
Plant	-	-	-	-	↑ than Object
Object	↓ than Animal	-	↓ than Animal	↓ than Human, Animal, Control	↓ than God, Human, Plant
Control	-	↑ than God	-	↑ than Object	↓ than God

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A case study: LLMs as multiple teammates.

Single models struggle with complex tasks. Example: Peer review rewriting

Rewriting

Original feedback

Sherry could improve her presentation...



More friendly feedback

Sherry, you have too many words on your...

Given the following feedback, rewrite it into a friendly paragraph with concrete suggestions for each of Alex's presentation problems.

Original feedback:

Sherry could improve her presentation skills. She has too much text on her slides. Her presentation meanders from topic to topic without a clear structure. She also does not engage with her audience when she presents.

More friendly feedback:

Sherry, you have too many words on your slides. You should use images and bullet points to help get your message across. You should have a clear structure for the presentation. You should also engage with your audience.

The input-output mapping is convoluted.

Example: Peer review rewriting

Rewriting

Original feedback

Sherry could improve her presentation...



Confusing mapping!

More friendly feedback

Sherry, you have too many words on your...

Given the following feedback, rewrite it into a friendly paragraph with concrete suggestions for each of Alex's presentation problems.

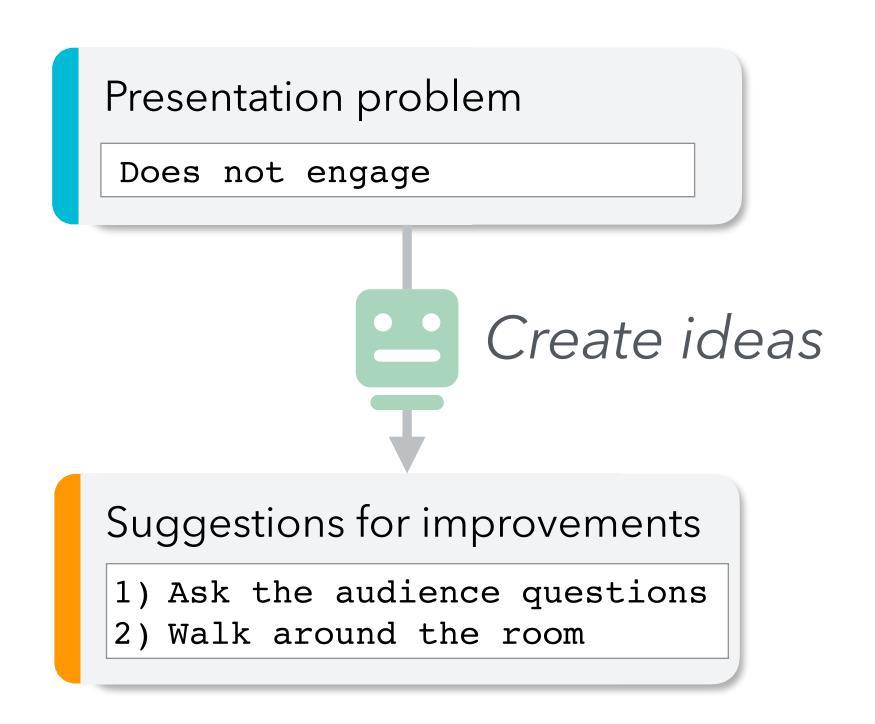
Original feedback:

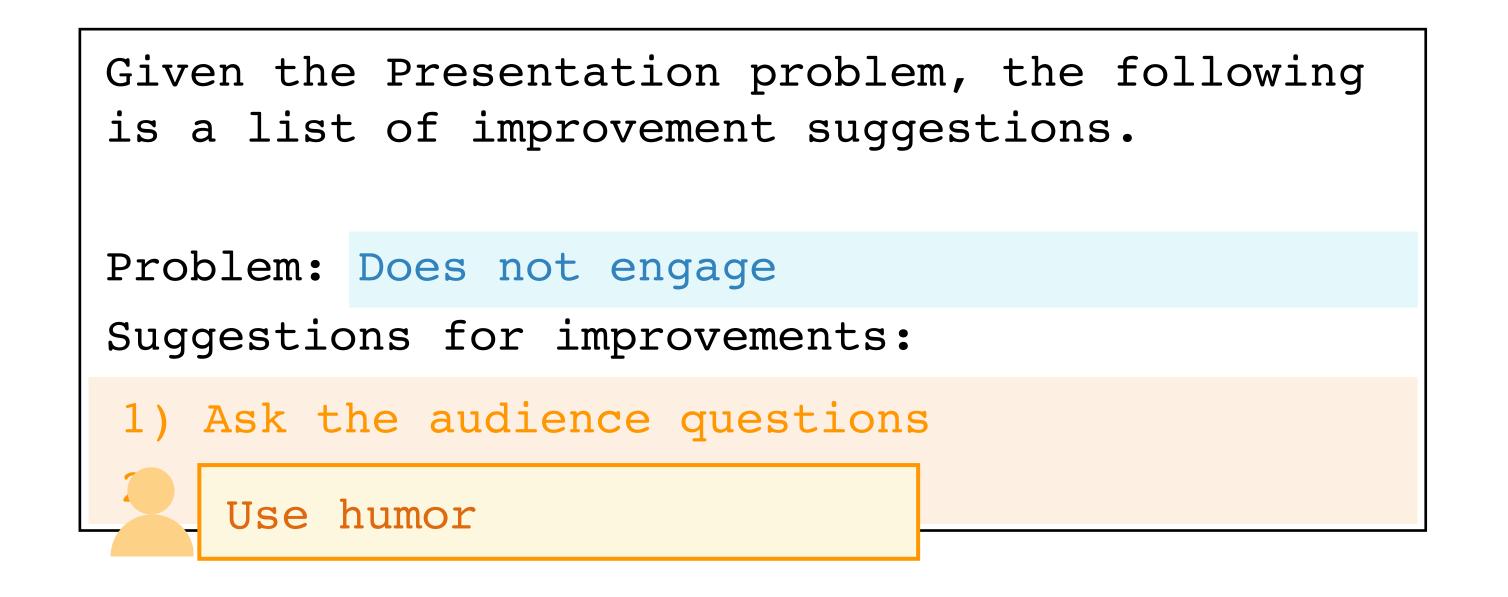
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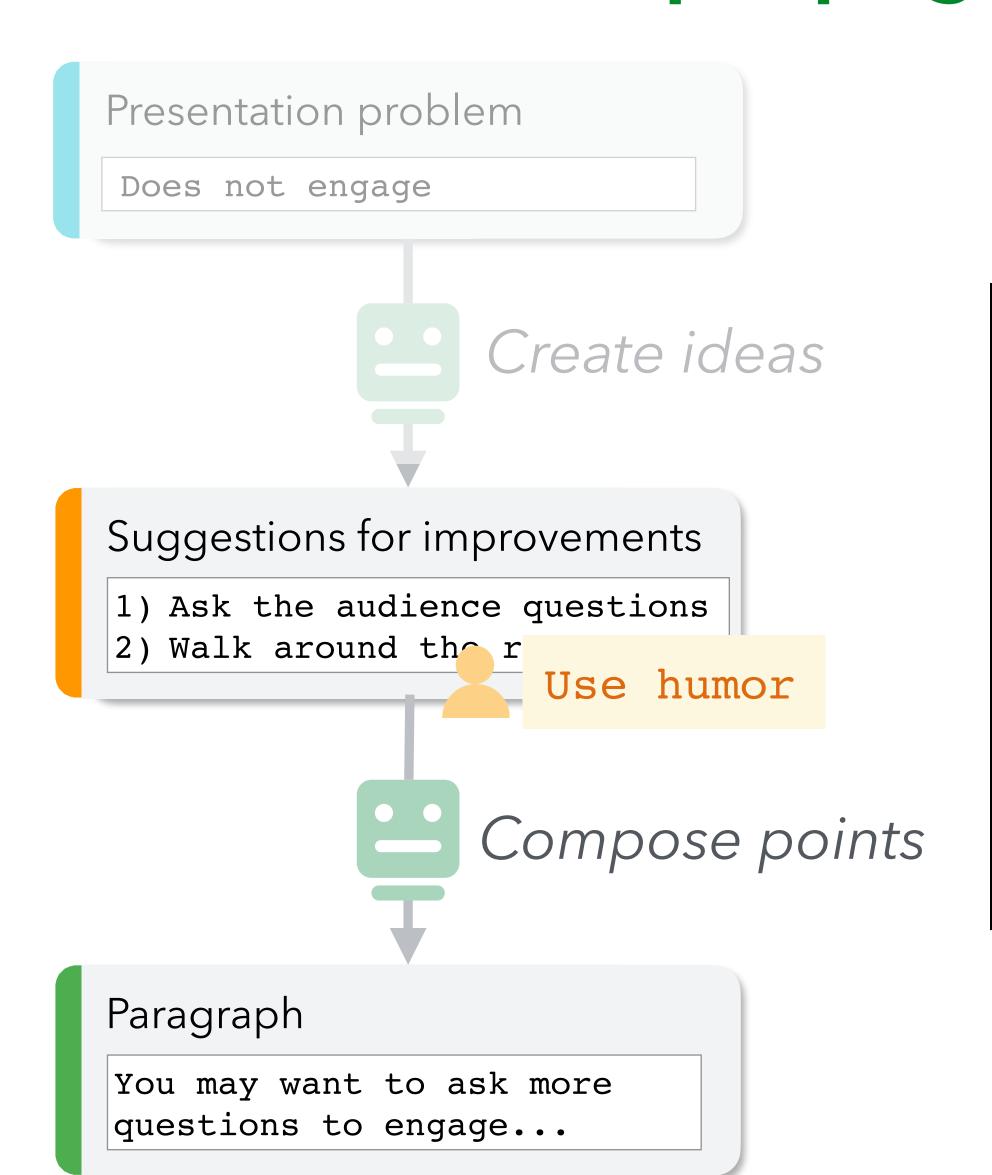
Sherry, you have too many words on your slides. You should use images and bullet points to help get your message across. You should have a clear structure for the presentation. You should also engage with your audience.

Small tasks are more interpretable and controllable.





The fix can be propagated to related sub-tasks!



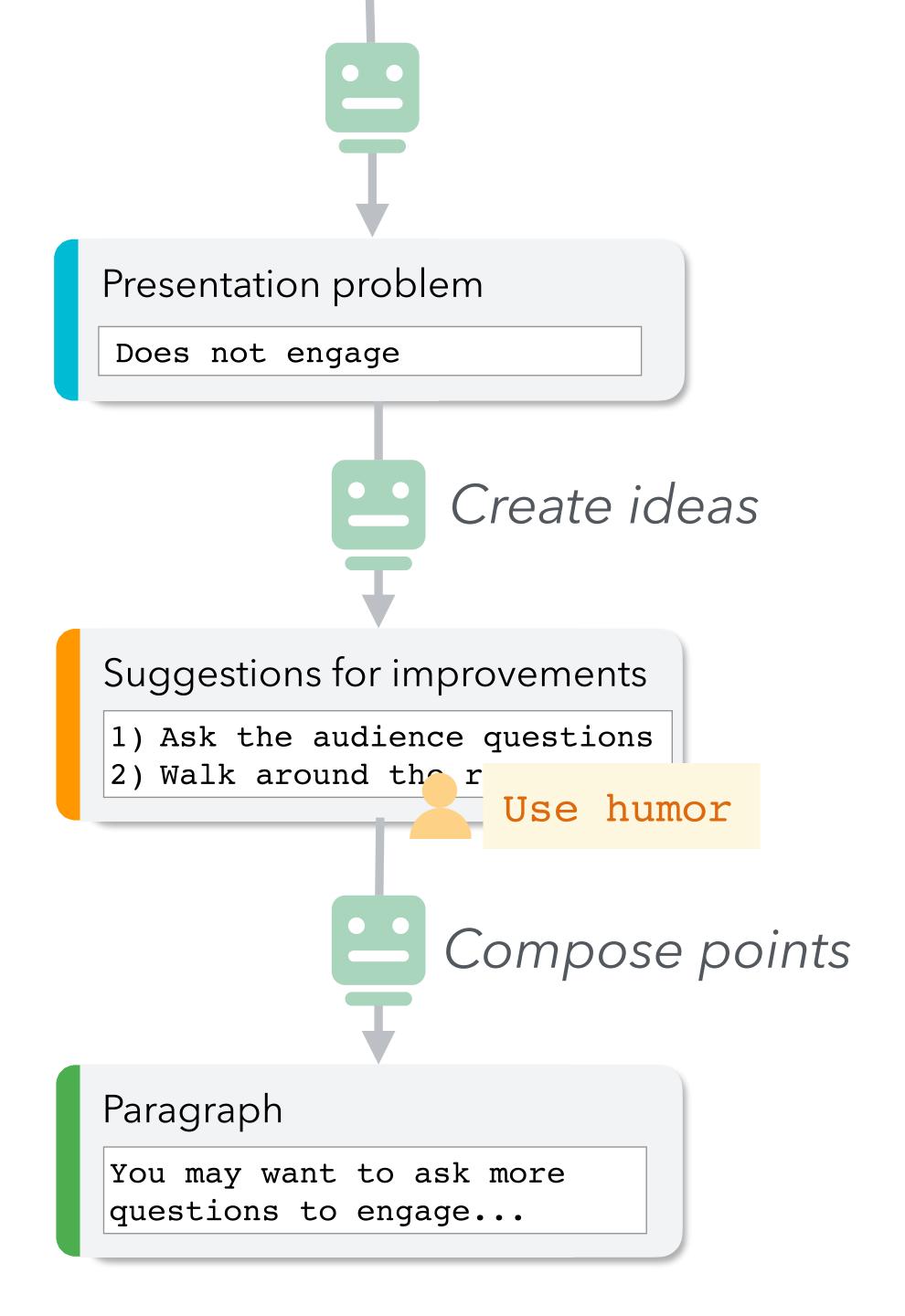
Write one friendly paragraph to cover all the suggestions.

Suggestions:

- 1) Ask the audience questions
- 2) Use humor

Paragraph

You may want to ask more questions to engage the audience. Humor always helps!



Chain =

Independent LLM runs per sub-task

+ inter-step transformation



Presentation problem

Does not engage



Create ideas

Suggestions for improvements

- 1) Ask the audience questions
- 2) Walk around the r

Use humor



Compose points

Paragraph

You may want to ask more questions to engage...

Chain =

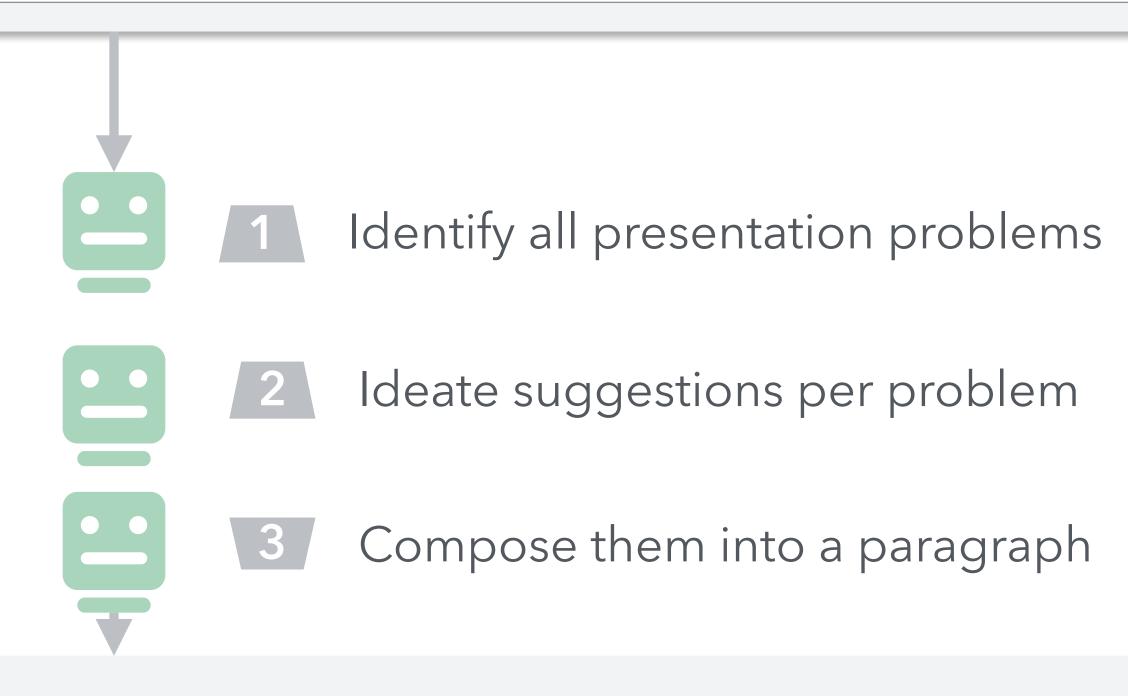
Independent LLM runs per sub-task

- 1 Identify all presentation problems
- 2 Ideate suggestions per problem
- Compose them into a paragraph

+ inter-step transformation

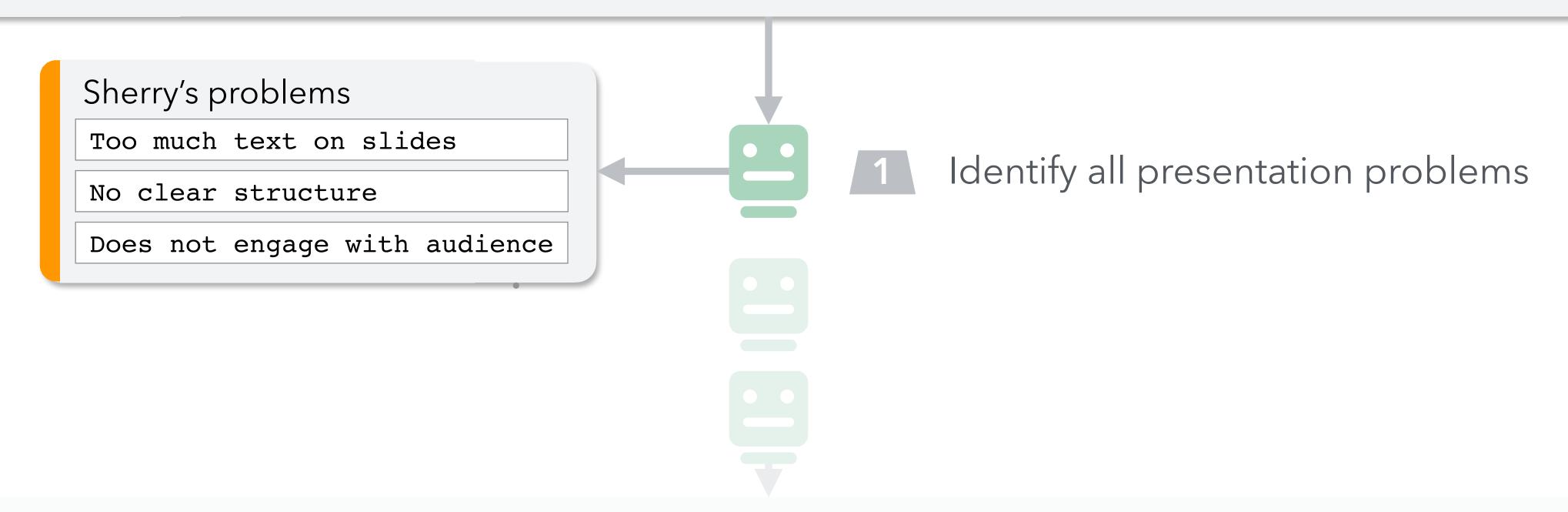
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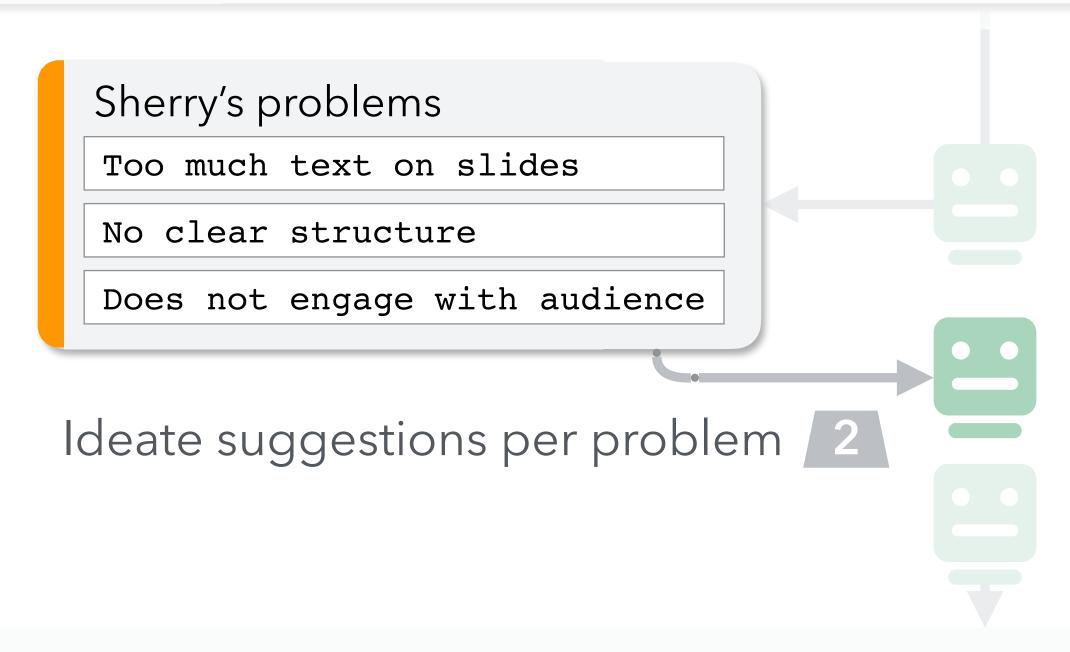
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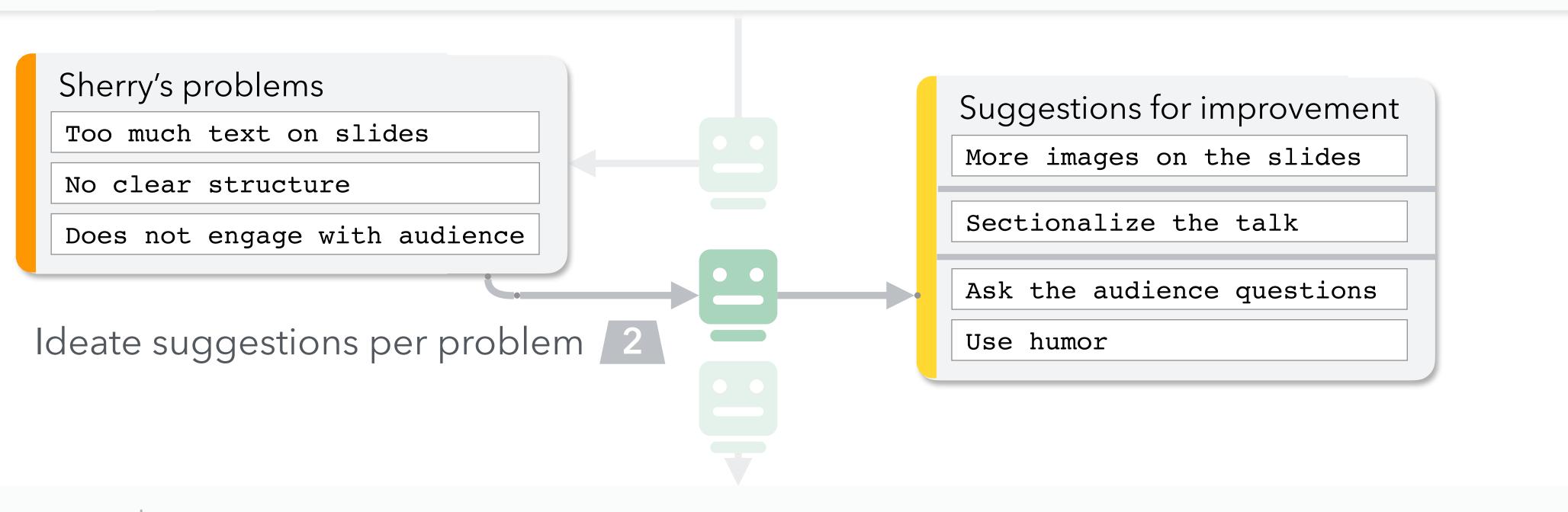
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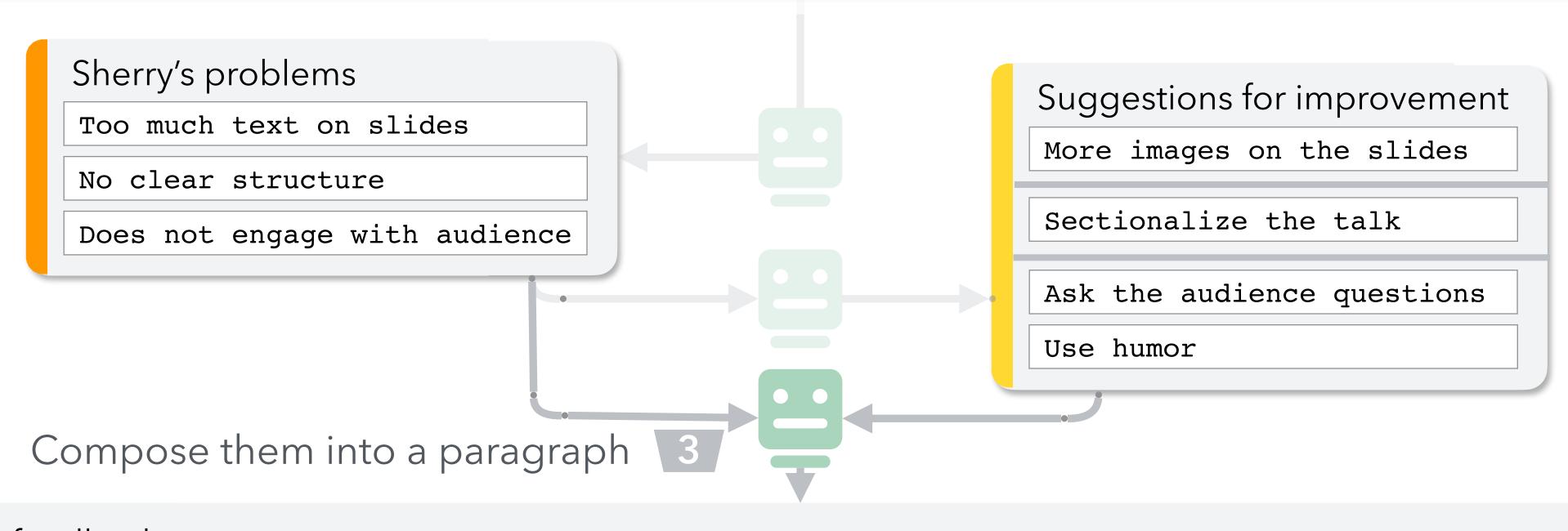
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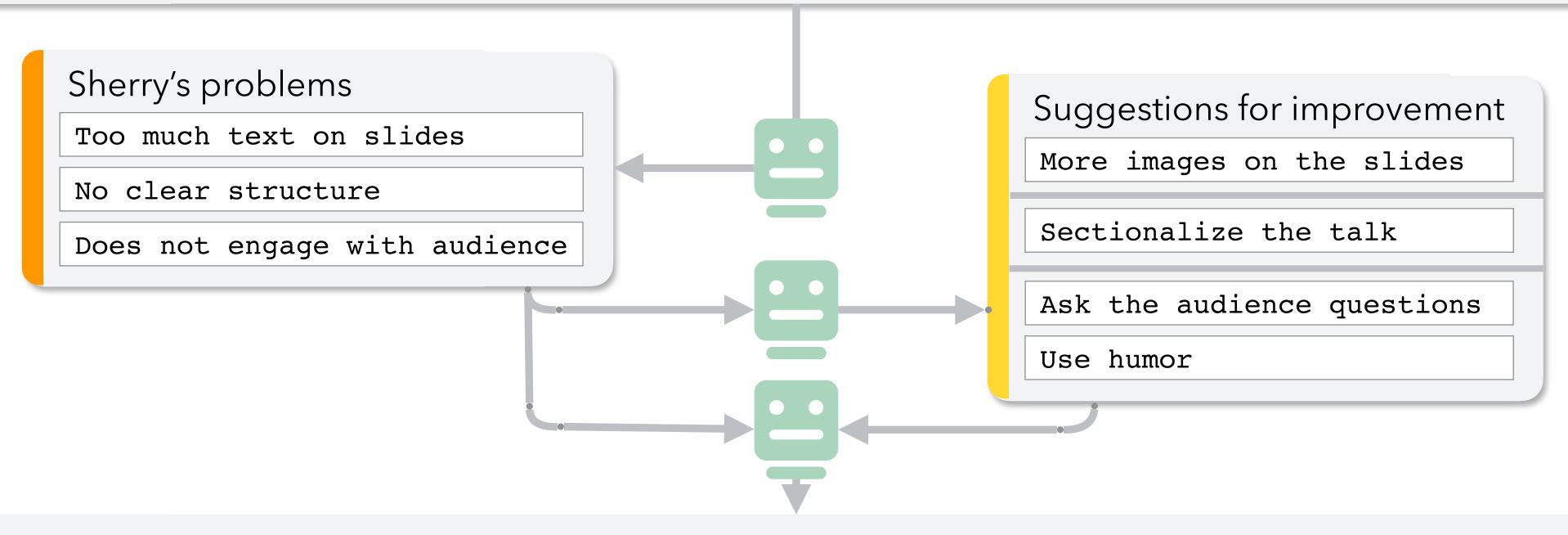
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Original feedback

Original feedback

Sherry could improve his presentation skills. He has too much text on his slides. His presentation meanders from topic to topic without a clear structure. He also does not engage with his audience when he presents.



Friendly paragraph

Sherry, your presentation was interesting! However, I noticed that you have a lot of information on your slides. It might be helpful to vary pictures with text so that it is easier to follow. Also, you might consider the flow of your theme. If it were me, I would have divided it into three sections and then used your conclusion. You may also want to add some humor, and ask more questions to engage the audience.



```
from langchain.prompts import PromptTemplate
from langchain.llms import OpenAI
llm = OpenAI(temperature=0.9)
prompt = PromptTemplate(
    input_variables=["product"],
    template="What is a good name for a company that makes {product}?",
```

```
second_prompt = PromptTemplate(
    input_variables=["company_name"],
    template="Write a catchphrase for the following company: {company_name}",
chain_two = LLMChain(llm=llm, prompt=second_prompt)
```

```
from langchain.chains import SimpleSequentialChain
overall_chain = SimpleSequentialChain(chains=[chain, chain_two], verbose=True)
# Run the chain specifying only the input variable for the first chain.
catchphrase = overall_chain.run("colorful socks")
print(catchphrase)
```

Read more on LangChain documentation



```
llm = OpenAI(temperature=0.9)
prompt = PromptTemplate(
    input_variables=["product"],
    template="What is a good name for a company that makes {product}?",
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second_prompt = PromptTemplate(
    input_variables=["company_name"],
    template="Write a catchphrase for the following company: {company_name}",
chain_two = LLMChain(llm=llm, prompt=second_prompt)
```

> Entering new SimpleSequentialChain chain...

```
Cheerful Toes.
```

"Spread smiles from your toes!"

> Finished SimpleSequentialChain chain.

"Spread smiles from your toes!"

Read more on LangChain documentation

Chaining reminds us of workflows in crowdsourcing...

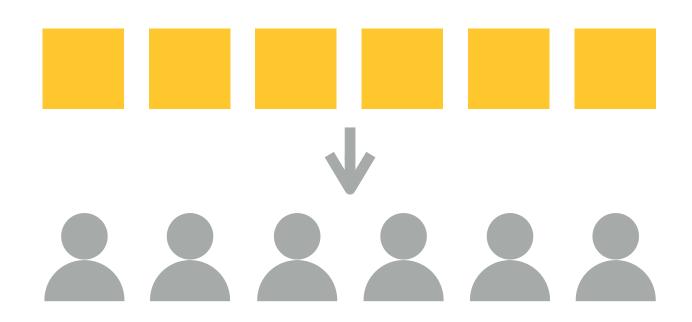
Crowdsourcing: Small Tasks, Many People

Combine many small tasks completed by independent workers.

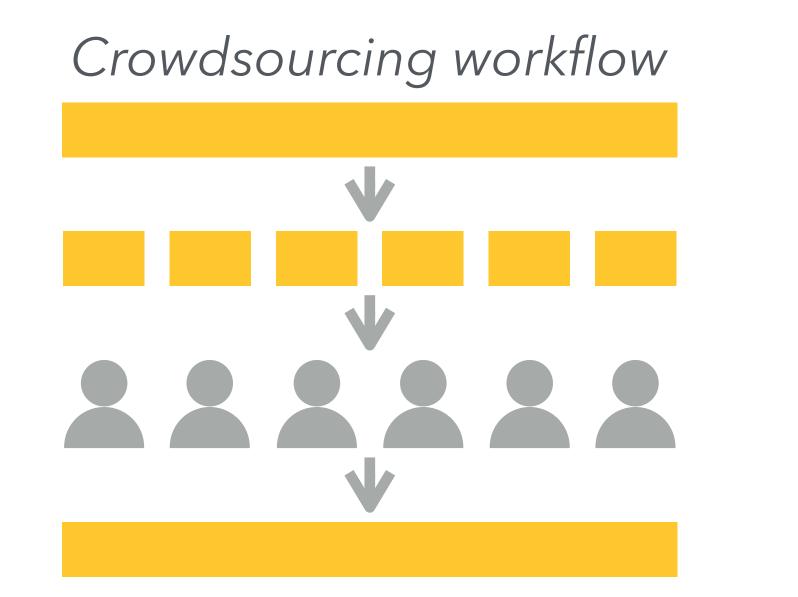
e.g., text shortening

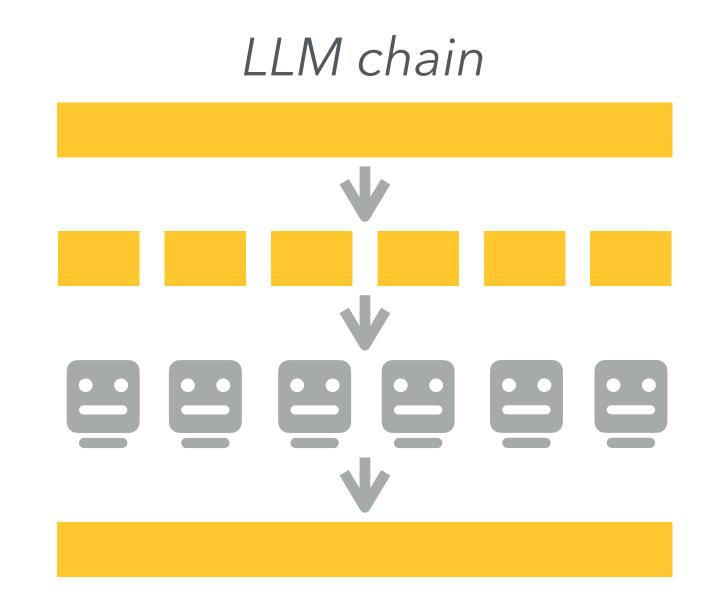
e.g., image labeling

e.g., translation



Crowdsourcing workflow vs. Chaining in LLMs





Similarities...

Idea: breakdown complex tasks into pieces that can be done independently, then combined.

Gains: scale to tasks that are otherwise hard, more structured interactions, more resilient to interruptions (of distractor tasks).

Limitations: cascading errors, conflicts between parallel paths, etc.

Crowdsourcing workflow vs. Chaining in LLMs

Differences...

Breakdown rational

Human access

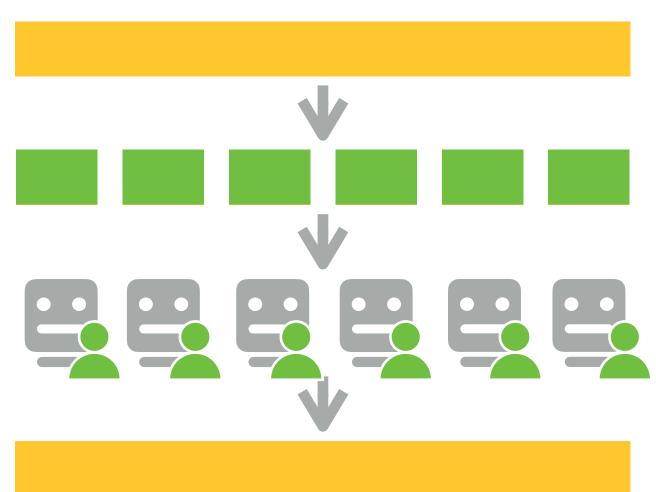
Address pitfalls of a single worker

Can do any task,
But do tasks with high variance,
Unwilling to digest too much context

Humans only have access to the steps assigned to them

More chance of conflict





Address pitfalls of a single LLM pass

Has intensive computing power, But limited reasoning capability, have exposure bias, etc.

Humans may interrupt at any step

Can take advantage of cascading effects, parallel paths, for explainability

Human-Al Collaboration: key aspects

Complementary performance: Leverage the strengths of both Al and humans, to achieve better outcomes than either could accomplish alone.

Alignment: Al should behave in a ways that humans expect their "teammate" to behave.

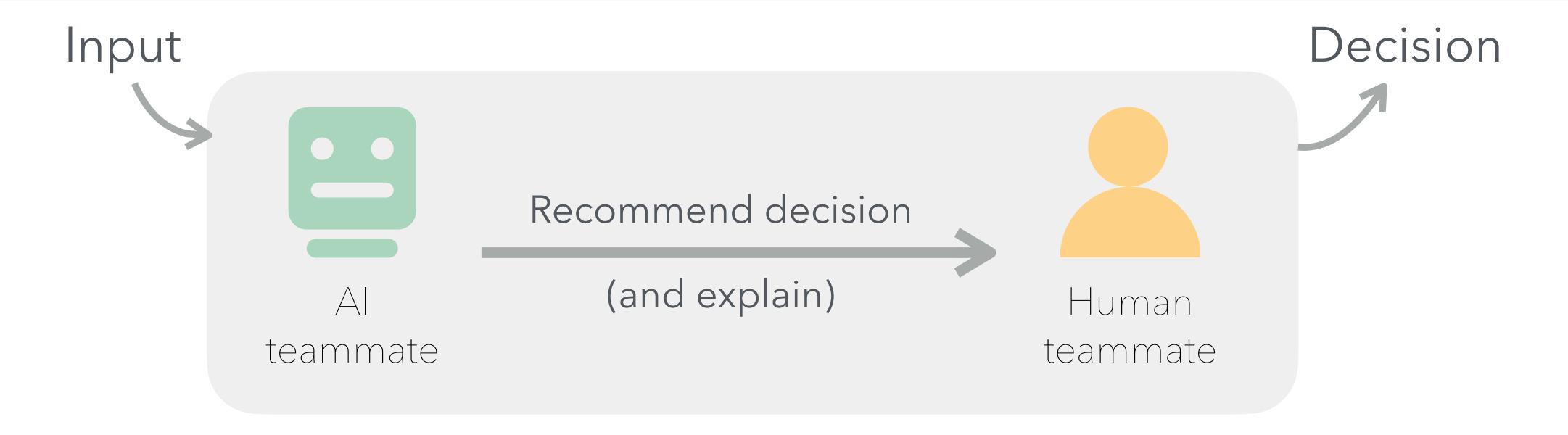
Coorperative interaction: The interaction between human and AI should work in a way that's both intuitive for the human, and compatible to model characteristics.

Reasonable task allocation: Assign tasks that the model can perform.

Appropriate trust: humans should follow Als when they are correct, but identify and correct their mistakes otherwise.

Appropriate trust in HAI team decision making

Human and AI perform the same task; AI makes suggested decisions and maybe explain, and human makes the final decision



Appropriate trust: accept Al recommendations when they are correct, but overwrite them when they don't make sense.

Design interactions for appropriate reliance

One example: When & how to display the AI recommendation matters.

inline highlight → confirmation bias ("this sentence seems reasonable enough")

See Al decision first → anchoring effect ("I will agree with Al's decision")

HCI solution

Display of Al recommendation

Present evidence, but not final decision; provide more explanations

Timing of Al decision

Asynchronous display, increase independence

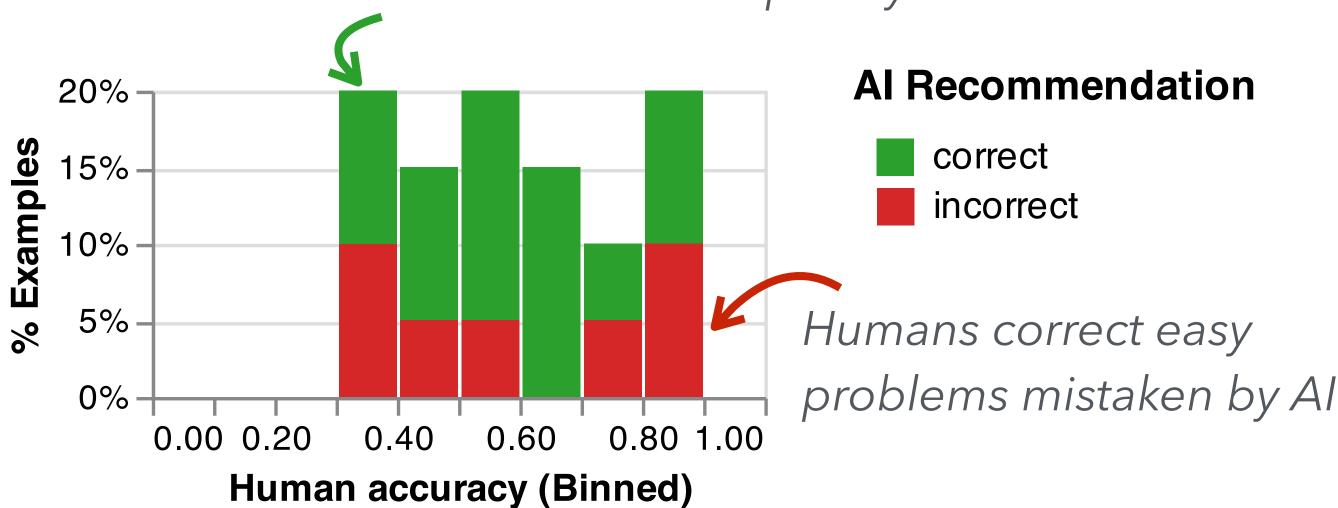
Factors causing inappropriate reliance?

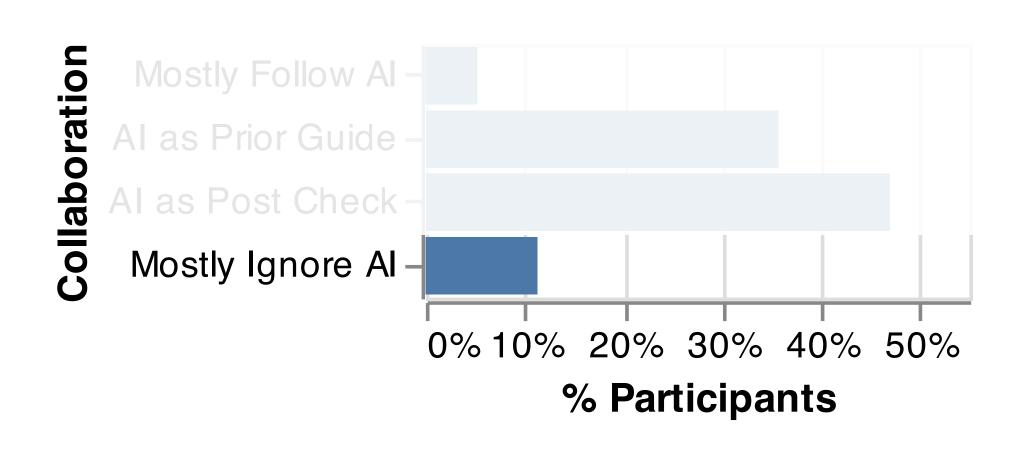
The distribution of human and AI expertise matters.

Human+Al is ineffective if they make the same kinds of mistakes.

Multi-choice question answering task

Al adds value when humans frequently err



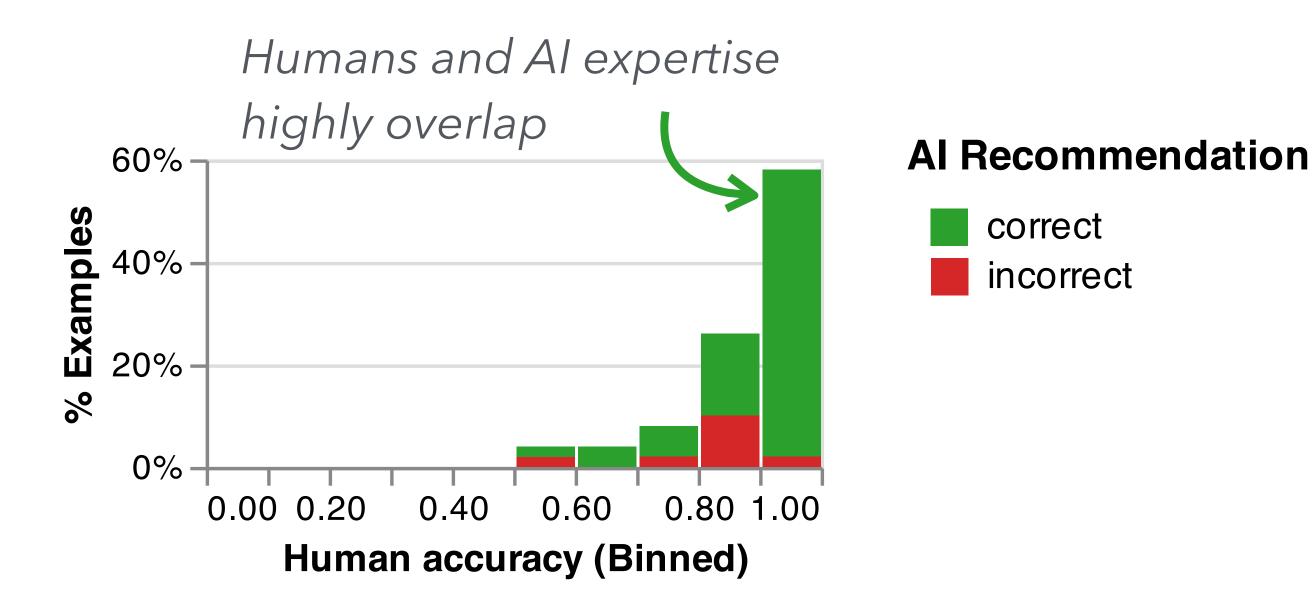


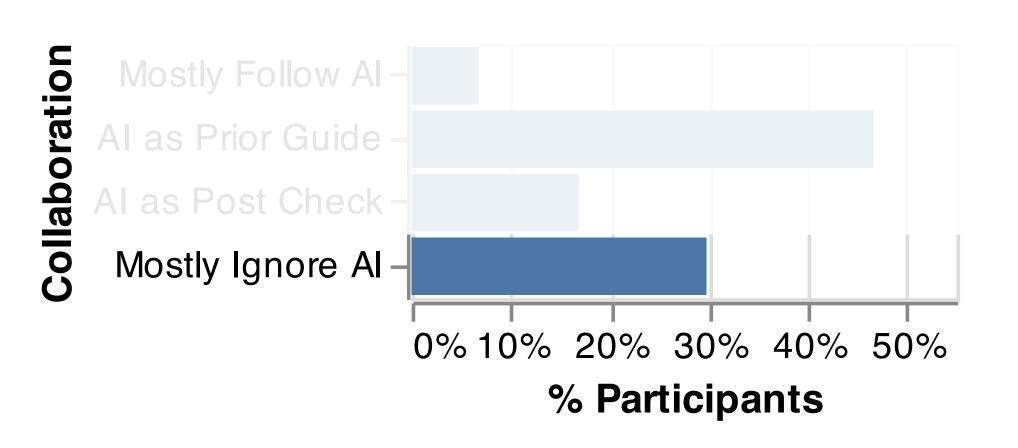
Factors causing inappropriate reliance?

The distribution of human and AI expertise matters.

Human+Al is ineffective if they make the same kinds of mistakes.

Classification task





Choose Als carefully for the task

The distribution of human and AI expertise matters.

Human+Al is ineffective if they make the same kinds of mistakes.

Al solution

Change the training objective

Directly optimize for complementary behavior

HCI solution

Re-think Al's roles, to help in other dimensions speed, cognitive load, etc.

Bansal, Gagan, et al. "Is the Most Accurate AI the Best Teammate? Optimizing AI for Teamwork." AAAI 2021 Feng, Shi, and Jordan Boyd-Graber. "What can ai do for me? evaluating machine learning interpretations in cooperative play." IUI 2019. Glassman, Elena L., et al. "OverCode: Visualizing variation in student solutions to programming problems at scale." TOCHI 2015

Recap

Humans interact with models in different ways.

Key factors of Human-model interaction includes:

Complementary performance.

Alignment.

Reasonable task allocation.

Cooperative interaction.

Appropriate trust.

Prompting is cool, but needs to consider model capabilities.